

## Guardians of New Zealand Superannuation - Gift Register 1 July 2012 – 31 December 2012

As outlined in the Guardians' Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and auctioned as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

Position	Description of gift	From	Date	Date Approved	Notes
CEO	Box of apples for staff	Scales Corporation, Christchurch	25/07/2012	25/07/2012	Shared among staff.
Manager	Chinese commemorative stamp album	Tangshan Steel Company	4/09/2012	26/09/2012	Gifted to Charity Auction.
Board Member	Chinese commemorative stamp album	Tangshan Steel Company	4/09/2012	26/09/2012	Gifted to Charity Auction.
Board Member	Chinese commemorative stamp album	Tangshan Steel Company	4/09/2012	26/09/2012	Gifted to Charity Auction.
Board Member	Chinese commemorative stamp album	Tangshan Steel Company	4/09/2012	26/09/2012	Gifted to Charity Auction.
Head	Alcohol	Auckland University of Technology	14/09/2012	28/09/2012	Gifted to Charity Auction.
CEO	Souvenir or Memento	International Forum for Sovereign Wealth Funds	7/09/2012	28/09/2012	Gifted to Charity Auction.
Senior Analyst	Souvenir or Memento	The International Forum of Sovereign Wealth Funds	5/09/2012	28/09/2012	Gifted to Charity Auction.
Head	Book	Barclays Capital	11/10/2012	16/10/2012	Retained by Staff Member

N/A	Charitable Donation	Bridgewater	5/12/2012	5/12/2012	As part of a global client relations initiative Bridgewater make donations at Christmas to charities of their clients' choice. The charities selected by Guardians staff in 2012 were: - Hospice NZ (\$15,500) - Baytrust (\$1,000); selected by Senior Investment Strategist - Doctors without Borders (\$1,000); selected by GM - United Maori Mission In-Zone Project (\$2,500); selected by GM.
Manager	Alcohol	Cameron Partners Investment Bankers	20/12/2012	20/12/2012	Gifted to Charity Auction.
GM	Alcohol	Cameron Partners Investment Bankers	6/12/2012	7/12/2012	Gifted to Charity Auction.
Manager	Alcohol	Cameron Partners Investment Bankers	6/12/2012	7/12/2012	Gifted to Charity Auction.
Senior Analyst	CD	Canyon	19/12/2012	19/12/2012	Gifted to Charity Auction.
Senior Investment Strategist	Souvenir or Memento	China Life Asset Management	4/09/2012	2/10/2012	Gifted to Charity Auction.
Manager	Diary	Citi Bank	20/12/2012	20/12/2012	Gifted to Charity Auction.
Senior Analyst	Diary	Citi Bank	20/12/2012	20/12/2012	Gifted to Charity Auction.
Head	Alcohol	Clearpoint	18/12/2012	18/12/2012	Gifted to Charity Auction.
Head	Alcohol	Clearpoint	18/12/2012	20/12/2012	Gifted to Charity Auction.
Analyst	Cosmetics	Comvita	19/12/2012	19/12/2012	Gifted to Charity Auction.
Senior Accountant	Alcohol	Deloitte	17/12/2012	18/12/2012	Gifted to Charity Auction.
Head	Alcohol	Deloitte	19/12/2012	19/12/2012	Gifted to Charity Auction.
GM	Gift Basket	Deloitte	18/12/2012	20/12/2012	Gifted to Charity Auction.
Analyst	Box of Cherries	Devon Funds Management	20/12/2012	20/12/2012	Gifted to Charity Auction.

GM	Swandri jacket	Direct Capital	1/11/2012	6/11/2012	Gifted to Charity Auction.
GM	Human Planet DVD series	Environmental Technology Fund	20/12/2012	20/12/2012	Gifted to Charity Auction.
Manager	Gift Basket	Farmright	20/12/2012	20/12/2012	Gifted to Charity Auction.
GM	Gift Basket	Farmright	20/12/2012	20/12/2012	Gifted to Charity Auction.
Senior Analyst	Gift Basket	Farmright	20/12/2012	20/12/2012	Gifted to Charity Auction.
Head	Gift Basket	Farmright	20/12/2012	20/12/2012	Gifted to Charity Auction.
Senior Analyst	Book	Hamilton Lane	14/09/2012	1/10/2012	Gifted to Charity Auction.
Head	Alcohol	New Zealand Tax Management	20/12/2012	20/12/2012	Gifted to Charity Auction.
GM	Alcohol	Northington Partners	18/12/2012	19/12/2012	Gifted to Charity Auction.
Head	Alcohol	Pencarrow	10/12/2012	10/12/2012	Gifted to Charity Auction.
GM	Alcohol	Pencarrow	10/12/2012	10/12/2012	Gifted to Charity Auction.
Senior Analyst	Alcohol	Pencarrow	10/12/2012	10/12/2012	Gifted to Charity Auction.
Head	Alcohol	Plan B	19/12/2012	19/12/2012	Gifted to Charity Auction.
Head	Alcohol	Plan B	19/12/2012	20/12/2012	Gifted to Charity Auction.
Head	Alcohol	Robert Half	17/12/2012	18/12/2012	Gifted to Charity Auction.
HR Co-ordinator	Soap	Salt	18/12/2012	18/12/2012	Gifted to Charity Auction.
Senior Analyst	Book	Schroders	19/12/2012	19/12/2012	Gifted to Charity Auction.
Manager	Coffee Beans and Beakers	The New Zealand Tax Trading Company	18/12/2012	18/12/2012	Gifted to Charity Auction.
Head	Coffee Beans and Beakers	The New Zealand Tax Trading Company	18/12/2012	19/12/2012	Gifted to Charity Auction.
GM	Alcohol	Timberlands	7/12/2012	7/12/2012	Gifted to Charity Auction.

Senior Analyst	Alcohol	Vermillion Asset Management	7/12/2012	7/12/2012	Gifted to Charity Auction.
GM	Alcohol	Vermillion Asset Management	7/12/2012	7/12/2012	Gifted to Charity Auction.
Head	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
GM	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
Senior Analyst	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
Head	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
Operational Due Diligence Analyst	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
Analyst	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
CEO	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
Senior Analyst	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
Analyst	Alcohol	Vermillion Asset Management	7/12/2012	10/12/2012	Gifted to Charity Auction.
Senior Analyst	Alcohol	Willis Bond & Co	19/12/2012	19/12/2012	Gifted to Charity Auction.
GM	Alcohol	Willis Bond & Co	19/12/2012	19/12/2012	Gifted to Charity Auction.
Head	Alcohol	Willis Bond & Co	19/12/2012	19/12/2012	Gifted to Charity Auction.
GM	Souvenir or Memento	WriteMark	30/11/2012	3/12/2012	Gifted to Charity Auction.