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Guardians of New Zealand Superannuation - Hospitality Register 1 January 2014 – 30 June 2014

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Analyst	Working Meal	Secured Capital Japan	31/03/2014	23/05/2014	
General Counsel	Other	Russell McVeagh	31/03/2014	10/04/2014	Morning Tea with Minister Post Budget Discussion
CEO	Working Meal	Datacom	5/03/2014	3/04/2014	
GM	Working Meal	Datacom	5/03/2014	3/04/2014	
Head	Working Meal	Corporate Taxpayer Group	13/03/2014	31/03/2014	
Senior Tax Advisor	Working Meal	Corporate Taxpayer Group	13/03/2014	31/03/2014	
Human Resources and Travel Coordinator	Working Meal	Accor Hotels	7/03/2014	31/03/2014	
Senior Analyst	Working Meal	KKR	20/03/2014	28/03/2014	
Manager	Working Meal	KKR	20/03/2014	28/03/2014	
Head	Working Meal	KKR	20/03/2014	28/03/2014	

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Head	Working Meal	KKR	20/03/2014	28/03/2014	
Legal Counsel	Working Meal	KKR	20/03/2014	28/03/2014	
Head	Working Meal	KKR	20/03/2014	28/03/2014	
Senior Analyst	Working Meal	Bridgewater Associates	21/03/2014	25/03/2014	
Senior Operations Analyst	Working Meal	Annuitas	18/03/2014	21/03/2014	
GM	Working Meal	Crombie Lockwood Limited	19/03/2014	20/03/2014	
Head	Working Meal	KKR	20/03/2014	19/03/2014	
Legal Counsel	Working Meal	KKR	20/03/2014	19/03/2014	
Senior Investment Operations Analyst	Working Meal	Barclays	17/03/2014	17/03/2014	
Manager	Working Meal	Barclays	17/03/2014	17/03/2014	
Manager	Working Meal	Barclays	17/03/2014	17/03/2014	
Senior Analyst	Working Meal	Reneissance Re	4/03/2014	14/03/2014	
Senior Analyst	Working Meal	Reneissance Re	4/03/2014	14/03/2014	
Senior Analyst	Working Meal	Apollo	3/03/2014	14/03/2014	
Senior Analyst	Working Meal	Apollo	3/03/2014	14/03/2014	
Operational Due Diligence Analyst	Working Meal	Northern Trust Asset Management	19/02/2014	11/03/2014	
Operational Due Diligence Analyst	Working Meal	Northern Trust Asset Management	19/02/2014	11/03/2014	
Operational Due Diligence Analyst	Other	HFMWeek	17/02/2014	11/03/2014	Complimentary one night accommodation and dinner included as part of the HFMWeek Operational Leaders Summit in Macau. Offer was available to all delegates (~200) and

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					funded by event sponsors.
Operational Due Diligence Analyst	Other	HFMWeek	17/02/2014	11/03/2014	Complimentary one night accommodation and dinner included as part of the HFMWeek Operational Leaders Summit in Macau. Offer was available to all delegates (~200) and funded by event sponsors.
Manager	Working Meal	Citigroup	6/03/2014	6/03/2014	
Manager	Working Meal	Deutsche Bank	28/02/2014	3/03/2014	
Manager	Working Meal	Deutsche Bank	28/02/2014	3/03/2014	
Head	Working Meal	Deutsche Bank	28/02/2014	3/03/2014	
Head	Working Meal	Deloitte	24/02/2014	3/03/2014	
Senior Tax Advisor	Working Meal	Deloitte	24/02/2014	3/03/2014	
Head	Other	EY	17/02/2014	3/03/2014	EY Tax Directors Forum - 40 NZ Tax Directors and EY Tax Partners - 1 Night accommodation and working meals
Senior Tax Advisor	Other	EY	17/02/2014	3/03/2014	EY Tax Directors Forum - 40 NZ Tax Directors and EY Tax Partners - 1 Night accommodation and working meals
Head	Working Meal	Goldman Sachs	27/02/2014	3/03/2014	
Head	Working Meal	Goldman Sachs	27/02/2014	3/03/2014	
Manager	Working Meal	Deutsche Bank	28/02/2014	3/03/2014	
Senior Analyst	Working Meal	Sankaty London	23/01/2014	3/03/2014	
Senior Analyst	Working Meal	Sankaty London	23/01/2014	3/03/2014	
Head	Working Meal	Goldman Sachs	27/02/2014	28/02/2014	

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Head	Working Meal	Goldman Sachs	27/02/2014	28/02/2014	
Senior Investment Operations Analyst	Working Meal	Northern Trust (Custodian)	24/02/2014	24/02/2014	
Senior Operations Analyst	Working Meal	Northern Trust (Custodian)	24/02/2014	24/02/2014	
Head	Working Meal	Northern Trust (Custodian)	24/02/2014	24/02/2014	
Manager	Working Meal	Northern Trust (Custodian)	24/02/2014	24/02/2014	
Head	Other	BNP Paribas	13/03/2014	24/02/2014	French Film Festival - BNP sponsors a film evening for clients as part of the Alliance Française film festival
Manager	Working Meal	Barclays	20/02/2014	24/02/2014	
Head	Working Meal	Barclays	20/02/2014	20/02/2014	
Head	Working Meal	Barclays	20/02/2014	20/02/2014	
Manager	Working Meal	Barclays	20/02/2014	20/02/2014	
Head	Working Meal	National Australia Bank	18/02/2014	19/02/2014	
Manager	Working Meal	ASB Bank	18/02/2014	19/02/2014	
Manager	Working Meal	ASB Bank	18/02/2014	19/02/2014	
CEO	Working Meal	NZ Prime Minister	6/02/2014	12/02/2014	
CEO	Working Meal	NZ Prime Minister	7/02/2014	12/02/2014	
CEO	Drinks	Australian Prime Minister	7/02/2014	12/02/2014	
Head	Drinks	ANZ Bank	4/02/2014	11/02/2014	
Head	Drinks	ANZ Bank	4/02/2014	11/02/2014	

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Analyst	Working Meal	MFS Investment Management	10/02/2014	11/02/2014	
Analyst	Working Meal	MFS Investment Management	10/02/2014	11/02/2014	
Head	Working Meal	UBS Sydney	4/02/2014	4/02/2014	
Manager	Working Meal	UBS Sydney	4/02/2014	4/02/2014	
Head	Working Meal	ANZ Bank	12/03/2014	4/02/2014	
GM	Working Meal	Deloitte	3/02/2014	3/02/2014	
Senior Financial Accountant	Lunch	Deloitte	3/02/2014	3/02/2014	
Head	Lunch	Deloitte	3/02/2014	3/02/2014	
Senior Accountant	Lunch	Deloitte	3/02/2014	3/02/2014	
Senior Analyst	Working Meal	Credit Suisse	31/01/2014	31/01/2014	
Analyst	Working Meal	Credit Suisse	31/01/2014	31/01/2014	
Senior Analyst	Working Meal	Canada Pension Plan Investment Board (CPPIB)	23/01/2014	24/01/2014	
Manager	Working Meal	Canada Pension Plan Investment Board (CPPIB)	23/01/2014	24/01/2014	
Senior Investment Operations Analyst	Working Meal	Euroclear	13/02/2014	22/01/2014	
Manager	Working Meal	Euroclear	13/02/2014	22/01/2014	
Head	Working Meal	Euroclear	13/02/2014	22/01/2014	
Manager	Working Meal	Westpac Bank	17/01/2014	17/01/2014	
Manager	Working Meal	Westpac Bank	17/01/2014	17/01/2014	
Head	Working Meal	Indevin	16/01/2014	16/01/2014	

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Head	Lunch	JPM	19/01/2014	6/01/2014	
Senior Analyst	Lunch	JPM	19/01/2014	6/01/2014	
Analyst	Lunch	JPM	19/01/2014	6/01/2014	
Senior Analyst	Lunch	JPM	19/01/2014	6/01/2014	
Analyst	Lunch	JPM	19/01/2014	6/01/2014	
Senior Analyst	Working Meal	EnCap Investments	25/06/2014	22/07/2014	
Legal Counsel	Working Meal	Allied Irish Bank	9/06/2014	21/07/2014	
Head	Working Meal	Allied Irish Bank	9/06/2014	21/07/2014	
CEO	Other	Ministry of Foreign Affairs and Trade	19/05/2014	17/07/2014	Flights for Adrian Orr, speaking engagement
CEO	Working Meal	Citibank	27/06/2014	2/07/2014	
Manager	Working Meal	BNZ	30/06/2014	1/07/2014	
Head	Working Meal	HSBC	10/06/2014	30/06/2014	
Head	Working Meal	Goldman Sachs	13/06/2014	30/06/2014	
Manager	Working Meal	ANZ Bank	27/06/2014	30/06/2014	
Manager	Working Meal	ANZ Bank	27/06/2014	30/06/2014	
Analyst	Drinks	Milford Asset Management	19/06/2014	27/06/2014	
Senior Analyst	Drinks	Milford Asset Management	19/06/2014	27/06/2014	

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Senior Analyst	Drinks	Milford Asset Management	19/06/2014	27/06/2014	
Manager	Drinks	Milford Asset Management	19/06/2014	27/06/2014	
Head	Drinks	Milford Asset Management	19/06/2014	27/06/2014	
GM	Drinks	Milford Asset Management	19/06/2014	27/06/2014	
Senior Investment Operations Analyst	Drinks	Milford Asset Management	19/06/2014	27/06/2014	
Operational Due Diligence Analyst	Drinks	Milford Asset Management	19/06/2014	27/06/2014	
Operational Due Diligence Analyst	Drinks	Milford Asset Management	19/06/2014	27/06/2014	
Head	Other	AMP Capital	22/06/2014	27/06/2014	Family movie event
GM	Other	Westpac	27/06/2014	27/06/2014	Westpac Luncheon - Strategic Leadership
CEO	Working Meal	State Services Commission	10/06/2014	26/06/2014	
CEO	Working Meal	Mercer	11/06/2014	26/06/2014	
Analyst	Other	AMP Capital	22/06/2014	23/06/2014	Family movie event
Head	Working Meal	Blackrock	5/06/2014	20/06/2014	
Head	Working Meal	Blackrock	5/06/2014	20/06/2014	
Manager	Working Meal	CBRE	4/06/2014	19/06/2014	
Senior Analyst	Working Meal	CBRE	4/06/2014	19/06/2014	
Manager	Working Meal	Sveafastigheter	16/06/2014	19/06/2014	
Manager	Working Meal	Orion	8/06/2014	19/06/2014	

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Senior Analyst	Working Meal	Orion	8/06/2014	19/06/2014	
Manager	Working Meal	CarVal	5/06/2014	19/06/2014	
Senior Analyst	Working Meal	CarVal	5/06/2014	19/06/2014	
Manager	Working Meal	Generali Lend Lease (GLL)	10/06/2014	19/06/2014	
Senior Analyst	Working Meal	Generali Lend Lease (GLL)	10/06/2014	19/06/2014	
Manager	Working Meal	CBRE	6/06/2014	19/06/2014	
Senior Analyst	Working Meal	CBRE	6/06/2014	19/06/2014	
Manager	Working Meal	Deutsche Bank	5/06/2014	19/06/2014	
Senior Analyst	Working Meal	Deutsche Bank	5/06/2014	19/06/2014	
Manager	Working Meal	Coller Capital	13/06/2014	19/06/2014	
Manager	Working Meal	ASB Bank	18/06/2014	19/06/2014	
Manager	Working Meal	ASB Bank	18/06/2014	19/06/2014	
Head	Working Meal	The Spaulding Group	10/06/2014	19/06/2014	
Portfolio Risk Analyst	Working Meal	The Spaulding Group	10/06/2014	19/06/2014	
Head	Working Meal	Deloitte	3/06/2014	17/06/2014	
Senior Tax Advisor	Working Meal	Deloitte	3/06/2014	17/06/2014	
Senior Tax Advisor	Working Meal	Deloitte	3/06/2014	17/06/2014	
Head	Working Meal	Northern Trust (Custodian)	23/05/2014	17/06/2014	

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Senior Tax Advisor	Working Meal	Northern Trust (Custodian)	23/05/2014	17/06/2014	
Head	Other	KPMG	21/05/2014	17/06/2014	Meals at KPMG Global Pension Funds and SWF Conference 19 to 21 May 2014
Senior Tax Advisor	Other	KPMG	21/05/2014	17/06/2014	Meals at KPMG Global Pension Funds and SWF Conference 19 to 21 May 2014
GM	Working Meal	Northern Trust (Custodian)	3/06/2014	13/06/2014	
Head	Working Meal	Northern Trust (Custodian)	3/06/2014	13/06/2014	
Head	Working Meal	Northern Trust (Custodian)	3/06/2014	13/06/2014	
Manager	Working Meal	Morgan Stanley	12/06/2014	13/06/2014	
Manager	Working Meal	Deutsche Bank	11/06/2014	12/06/2014	
Manager	Working Meal	Deutsche Bank	11/06/2014	12/06/2014	
Manager	Working Meal	Deutsche Bank	6/06/2014	10/06/2014	
Manager	Working Meal	PSP Investments	2/06/2014	10/06/2014	
Head	Working Meal	Goldman Sachs	6/06/2014	6/06/2014	
Manager	Working Meal	Goldman Sachs	6/06/2014	6/06/2014	
Manager	Working Meal	Rabobank	5/06/2014	5/06/2014	
Manager	Working Meal	Rabobank	5/06/2014	5/06/2014	
Senior Analyst	Working Meal	SkyCity	5/06/2014	5/06/2014	
Analyst	Working Meal	SkyCity	5/06/2014	5/06/2014	
Manager	Working Meal	SkyCity	5/06/2014	5/06/2014	

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Manager	Working Meal	Nomura	3/06/2014	5/06/2014	
Legal Counsel	Working Meal	JP Morgan	30/05/2014	30/05/2014	
Legal Counsel	Working Meal	JP Morgan	30/05/2014	30/05/2014	
Senior Analyst	Working Meal	Deutsche Bank	29/05/2014	30/05/2014	
Senior Analyst	Working Meal	Deutsche Bank	29/05/2014	30/05/2014	
Head	Working Meal	JP Morgan	29/05/2014	30/05/2014	
Head	Working Meal	JP Morgan	29/05/2014	30/05/2014	
GM	Working Meal	JP Morgan	29/05/2014	30/05/2014	
Legal Counsel	Working Meal	Ontario Teachers' Pension Plan	10/04/2014	29/05/2014	
Legal Counsel	Working Meal	Ontario Teachers' Pension Plan	10/04/2014	29/05/2014	
Legal Counsel	Working Meal	Russell McVeagh	5/06/2014	29/05/2014	
Legal Counsel	Working Meal	Chapman Tripp	28/05/2014	29/05/2014	
Manager	Working Meal	JP Morgan	2/05/2014	26/05/2014	
Legal Counsel	Working Meal	JP Morgan	2/05/2014	26/05/2014	
Head	Working Meal	JP Morgan	2/05/2014	26/05/2014	
Head	Working Meal	Global Women	26/05/2014	26/05/2014	
Legal Counsel	Working Meal	Chapman Tripp	28/05/2014	26/05/2014	
General Counsel	Working Meal	Chapman Tripp	28/05/2014	26/05/2014	

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Legal Counsel	Working Meal	Chapman Tripp	28/05/2014	26/05/2014	
Legal Counsel	Working Meal	Chapman Tripp	28/05/2014	26/05/2014	
Senior Analyst	Working Meal	Gaw Capital	4/04/2014	23/05/2014	
Senior Analyst	Working Meal	Gaw Capital	3/04/2014	23/05/2014	
Senior Analyst	Working Meal	Gaw Capital	2/04/2014	23/05/2014	
Senior Analyst	Working Meal	TrustPower	16/05/2014	23/05/2014	
Head	Working Meal	Deutsche Bank	20/05/2014	20/05/2014	
Manager	Working Meal	Deutsche Bank	20/05/2014	20/05/2014	
CEO	Working Meal	AUT Business School	15/05/2014	19/05/2014	
Senior Analyst	Working Meal	AUT Business School	15/05/2014	19/05/2014	
Manager	Working Meal	AUT Business School	15/05/2014	19/05/2014	
Manager	Working Meal	New Zealand Private Equity and Venture Capital Association Inc. and Chapman Tripp	9/05/2014	16/05/2014	
GM	Other	Westpac	16/05/2014	16/05/2014	Trans Tasman Post Budget Luncheon
Manager	Other	Westpac	16/05/2014	16/05/2014	Trans Tasman Post Budget Luncheon
Senior Analyst	Working Meal	Blackrock	12/05/2014	16/05/2014	
Senior Analyst	Working Meal	Blackrock	13/05/2014	16/05/2014	

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Manager	Working Meal	Goldman Sachs	15/05/2014	16/05/2014	
Manager	Working Meal	Citibank	13/05/2014	13/05/2014	
Senior Analyst	Working Meal	Citibank	13/05/2014	13/05/2014	
Manager	Working Meal	Citibank	13/05/2014	13/05/2014	
Head	Working Meal	The Spaulding Group	9/05/2014	12/05/2014	
Portfolio Risk Analyst	Working Meal	The Spaulding Group	9/05/2014	12/05/2014	
Manager	Working Meal	ANZ Bank	9/05/2014	9/05/2014	
Manager	Working Meal	ANZ Bank	9/05/2014	9/05/2014	
Senior Analyst	Working Meal	TrustPower Ltd	6/05/2014	6/05/2014	
CEO	Working Meal	Milford Asset Management	2/05/2014	2/05/2014	
CEO	Working Meal	Emory University	17/04/2014	2/05/2014	
CEO	Working Meal	Emory University	16/04/2014	2/05/2014	
CEO	Working Meal	Northern Trust (Custodian)	29/04/2014	1/05/2014	
GM	Working Meal	Northern Trust (Custodian)	29/04/2014	1/05/2014	
Head	Working Meal	Northern Trust (Custodian)	29/04/2014	1/05/2014	
Head	Working Meal	Northern Trust (Custodian)	29/04/2014	1/05/2014	
Board Member	Working Meal	Northern Trust (Custodian)	29/04/2014	1/05/2014	
Analyst	Working Meal	Forsyth Barr	5/05/2014	1/05/2014	

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Head	Working Meal	Northern Trust (Custodian)	29/04/2014	30/04/2014	
Analyst	Lunch	Northern Trust Global Investment Limited	29/04/2014	29/04/2014	
Senior Analyst	Lunch	Northern Trust Global Investment Limited	29/04/2014	29/04/2014	
Head	Other	AMP Capital	17/04/2014	28/04/2014	Family movie event
Manager	Working Meal	New Zealand Private Equity and Venture Capital Association Inc. and Chapman Tripp	10/04/2014	22/04/2014	
Manager	Working Meal	Citibank	16/04/2014	17/04/2014	
Analyst	Working Meal	Citibank	16/04/2014	17/04/2014	
Senior Investment Strategist	Working Meal	Citibank	16/04/2014	17/04/2014	
Senior Analyst	Working Meal	Citibank	16/04/2014	17/04/2014	
Head	Working Meal	Citibank	16/04/2014	17/04/2014	
Senior Operations Analyst	Working Meal	Thomas Murray	15/04/2014	15/04/2014	
Head	Working Meal	Thomas Murray	15/04/2014	15/04/2014	
Senior Investment Operations Analyst	Drinks	Deutsche Bank	9/04/2014	14/04/2014	
Derivatives Operations Analyst	Drinks	Deutsche Bank	9/04/2014	14/04/2014	
Manager	Drinks	Deutsche Bank	9/04/2014	14/04/2014	

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Senior Analyst	Working Meal	Leadenhall	11/04/2014	14/04/2014	
Senior Analyst	Working Meal	Leadenhall	11/04/2014	14/04/2014	
Analyst	Working Meal	Leadenhall	11/04/2014	14/04/2014	
Manager	Working Meal	ANZ Bank	9/04/2014	11/04/2014	
Manager	Working Meal	ANZ Bank	9/04/2014	11/04/2014	
Manager	Working Meal	Westpac	4/04/2014	8/04/2014	
Manager	Working Meal	Westpac	4/04/2014	8/04/2014	
Senior Analyst	Other	Forsyth Barr	14/04/2014	7/04/2014	INFINZ Awards evening
Legal Counsel	Working Meal	Russell McVeagh	10/04/2014	17/03/2014	
General Counsel	Working Meal	Russell McVeagh	10/04/2014	17/03/2014	

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**Guardians of New Zealand Superannuation - Gift Register
1 January 2014 – 30 June 2014**

As outlined in the Guardians' Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and auctioned as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

Position	Description of gift	From	Date	Date Approved	Notes
Communications Executive	Teddy Bear Mascot for Racing Team	Cure Kids Foundation	4/03/2014	14/07/2014	Gifted to Charity Auction.
Senior Analyst	Red Fort branded suit	Red Fort	11/03/2014	31/03/2014	Gifted to Charity Auction.
Senior Analyst	iTunes voucher	Ross Carmichael Singer	25/03/2014	31/03/2014	Gifted to Charity Auction.
Head	Book	ANZ Bank	12/03/2014	31/03/2014	Retained by Staff Member
GM	Water carafe and glass	PEP International	13/03/2014	13/03/2014	Gifted to Charity Auction.
Head	Alcohol	NZX	6/03/2014	11/03/2014	Gifted to Charity Auction.
GM	Rug from Sweden	AP2	15/02/2014	4/03/2014	Retained by Staff Member – Personal gift. Will reciprocate at personal expense.
GM	Book	Ernst & Young	4/03/2014	4/03/2014	Retained by Staff Member
Senior Analyst	Book	Everest Capital	18/02/2014	18/02/2014	Retained by Staff Member
Analyst	Book	Everest Capital	18/02/2014	18/02/2014	Retained by Staff Member
Head	Book	Canyon	20/01/2014	20/01/2014	Gifted to Charity Auction.

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General Counsel	Alcohol	Stradling Attorneys	15/01/2014	15/01/2014	Gifted to Charity Auction.
Head	Digital Camera	PNI	26/06/2014	2/07/2014	Gifted to Charity Auction.
Head	University of California tie	University of California	27/06/2014	1/07/2014	Gifted to Charity Auction.
Manager	University of California tie	University of California	27/06/2014	30/06/2014	Gifted to Charity Auction.
Senior Analyst	Souvenir or Memento	Air New Zealand	19/06/2014	30/06/2014	Gifted to Charity Auction.
Manager	1 x Simplus and 1 x Pilairo Obstructive Sleep Apnea masks	Fisher & Paykel Healthcare	23/06/2014	27/06/2014	Gifted to Charity Auction.
Senior Analyst	Powershop branded t-shirt	Powershop	20/05/2014	20/05/2014	Gifted to Charity Auction.
CEO	Cupcakes	AUT Business school	16/05/2014	16/05/2014	Retained by Guardians
Manager	Cupcakes	AUT Business school	16/05/2014	16/05/2014	Retained by Guardians
Senior Analyst	Cupcakes	AUT Business school	16/05/2014	16/05/2014	Retained by Guardians
GM	NCSSF tie and 3 x pens + USB sticks	National Council for Social Security Fund (NCSSF)	14/05/2014	16/05/2014	Gifted to Charity Auction.
Head	NCSSF tie and 3 x pens + USB sticks	National Council for Social Security Fund (NCSSF)	14/05/2014	16/05/2014	Gifted to Charity Auction.
Head	NCSSF tie and 3 x pens + USB sticks	National Council for Social Security Fund (NCSSF)	14/05/2014	16/05/2014	Gifted to Charity Auction.
Senior Analyst	Alcohol	AUT Business school	29/04/2014	29/04/2014	Gifted to Charity Auction.