

Guardians of New Zealand Superannuation - Hospitality Register 1 July 2017 – 31 December 2017

As outlined in the Guardians’ Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers’ client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Head	Working Meal	Morgan Stanley	7/07/2017	7/07/2017	
Manager	Working Meal	Morgan Stanley	7/07/2017	7/07/2017	
Manager	Working Meal	OMERS	10/07/2017	26/05/2017	
Manager	Working Meal	LCH	20/07/2017	1/08/2017	
Investment Operations Analyst	Working Meal	LCH	20/07/2017	1/08/2017	
General Counsel	Working Meal	Russell McVeagh	20/07/2017	21/07/2017	
Manager	Working Meal	JPMorgan	20/07/2017	20/07/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Portfolio Manager	Working Meal	JPMorgan	20/07/2017	20/07/2017	
CEO	Working Meal	CalSTRS	26/07/2017	16/08/2017	
GM	Working Meal	Westpac	26/07/2017	28/07/2017	
Head	Working Meal	Australian High Commission and Macquarie Bank	26/07/2017	27/07/2017	
CEO	Working Meal	Pacific Pension Institute	27/07/2017	16/08/2017	
Senior Advisor	Working Meal	Pacific Pension Institute	27/07/2017	16/08/2017	
Manager	Working Meal	Pacific Pension Institute	27/07/2017	16/08/2017	
Analyst	Working Meal	Macquarie Equities Research	28/07/2017	28/07/2017	
Manager	Working Meal	Northern Trust	31/07/2017	4/08/2017	
Senior Operations Analyst	Working Meal	Northern Trust	31/07/2017	4/08/2017	
Senior Analyst	Working Meal	Woodward Partners	1/08/2017	3/08/2017	
Senior Analyst	Working Meal	Genesis Energy	1/08/2017	3/08/2017	
Manager	Working Meal	Morrison & Co	1/08/2017	2/08/2017	
Head	Working Meal	Morrison & Co	1/08/2017	2/08/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Investment Strategist	Working Meal	Morrison & Co	1/08/2017	2/08/2017	
Analyst	Working Meal	Morrison & Co	1/08/2017	2/08/2017	
Legal Counsel	Working Meal	Morrison & Co	1/08/2017	2/08/2017	
Legal Counsel	Working Meal	Morrison & Co	1/08/2017	2/08/2017	
Senior Investment Strategist	Working Meal	Morrison & Co	1/08/2017	2/08/2017	
Senior Economist	Working Meal	Morrison & Co	1/08/2017	2/08/2017	
Manager	Working Meal	Citibank	2/08/2017	8/08/2017	
Manager	Working Meal	Citibank	2/08/2017	8/08/2017	
Head	Working Meal	Citibank	2/08/2017	8/08/2017	
Senior Analyst	Working Meal	Vector	2/08/2017	3/08/2017	
Analyst	Other	Mayne Wetherell	2/08/2017	2/08/2017	Attendance at 7th Annual New Zealand Angel Investment Showcase
Head	Working Meal	Morgan Stanley	3/08/2017	8/08/2017	
GM	Working Meal	Morgan Stanley	3/08/2017	8/08/2017	
Head	Working Meal	First NZ Capital	4/08/2017	2/10/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
GM	Working Meal	Northern Trust	4/08/2017	9/08/2017	
Board Member	Other	International Pensions Conference	6/08/2017	28/08/2017	Conference dinner
GM	Other	International Pensions Conference	6/08/2017	28/08/2017	Conference dinner
Head	Other	International Pensions Conference	6/08/2017	28/08/2017	Conference dinner
Strategic Development Advisor	Other	International Pensions Conference	6/08/2017	28/08/2017	Conference dinner
GM	Other	International Pensions Conference	6/08/2017	28/08/2017	Conference dinner
Legal Counsel	Other	International Pensions Conference	6/08/2017	28/08/2017	Conference dinner
General Counsel	Other	International Pensions Conference	7/08/2017	28/08/2017	Conference dinner
GM	Other	International Pensions Conference	7/08/2017	28/08/2017	Conference dinner
Board Member	Other	International Pensions Conference	7/08/2017	28/08/2017	Conference dinner

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Strategic Development Advisor	Other	International Pensions Conference	7/08/2017	28/08/2017	Conference dinner
Manager	Other	International Pensions Conference	7/08/2017	28/08/2017	Conference dinner
Senior Investment Strategist	Other	International Pensions Conference	7/08/2017	28/08/2017	Conference dinner
Analyst	Other	International Pensions Conference	7/08/2017	28/08/2017	Conference dinner
Head	Other	International Pensions Conference	7/08/2017	28/08/2017	Conference dinner
General Counsel	Working Meal	MinterEllisonRuddWatts	7/08/2017	15/06/2017	
GM	Other	International Pensions Conference	8/08/2017	28/08/2017	Conference dinner
Board Member	Other	International Pensions Conference	8/08/2017	28/08/2017	Conference dinner
GM	Other	International Pensions Conference	8/08/2017	28/08/2017	Conference dinner
Senior Analyst	Other	International Pensions Conference	8/08/2017	28/08/2017	Conference dinner

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Analyst	Other	International Pensions Conference	8/08/2017	28/08/2017	Conference dinner
Senior Advisor	Other	International Pensions Conference	8/08/2017	28/08/2017	Conference dinner
CEO	Other	International Pensions Conference	8/08/2017	28/08/2017	Conference dinner
Strategic Development Advisor	Other	International Pensions Conference	8/08/2017	28/08/2017	Conference dinner
CEO	Other	HUGO Group	8/08/2017	8/08/2017	Accommodation, return economy flights and airport transfers as part of CEO speaking engagement
Senior Analyst	Working Meal	Northern Trust	8/08/2017	8/08/2017	
Senior Analyst	Working Meal	Northern Trust	8/08/2017	8/08/2017	
Board Member	Other	International Pensions Conference	9/08/2017	28/08/2017	Dinner and accommodation on Waiheke Island as part of IPC post-conference tour
GM	Other	International Pension Fund	9/08/2017	28/08/2017	Transport, meals and two nights' accommodation on Waiheke Island as part of IPC post-conference tour. NZSF staff attended as hosts and organisers

C1 - Public

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Strategic Development Advisor	Other	International Pension Fund	9/08/2017	28/08/2017	Transport, meals and two nights' accommodation on Waiheke Island as part of IPC post-conference tour. NZSF staff attended as hosts and organisers
Senior Advisor	Working Meal	MBIE	9/08/2017	14/08/2017	
CEO	Working Meal	International Pensions Conference	10/08/2017	28/08/2017	
Operational Due Diligence Analyst	Working Meal	Precinct Properties	10/08/2017	16/08/2017	
Head	Working Meal	Morrison & Co	10/08/2017	14/08/2017	
Senior Operations Analyst	Working Meal	Bloomberg	14/08/2017	17/08/2017	
GM	Working Meal	Bloomberg	14/08/2017	17/08/2017	
Senior Operations Analyst	Working Meal	OP Trust	16/08/2017	17/08/2017	
GM	Working Meal	OP Trust	16/08/2017	17/08/2017	
IT Support Analyst	Working Meal	Datacom	16/08/2017	16/08/2017	
Application Administrator	Working Meal	Datacom	16/08/2017	16/08/2017	
Manager	Working Meal	Datacom	16/08/2017	16/08/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Advisor	Working Meal	NZTE	17/08/2017	21/08/2017	
Senior Advisor	Other	Te Aukaha - NZTE	17/08/2017	6/07/2017	Flights
Senior Advisor	Working Meal	Tuwharetoa Trust Board	18/08/2017	25/08/2017	
Head	Working Meal	Russell McVeagh	18/08/2017	21/08/2017	
Senior Tax Advisor	Working Meal	Russell McVeagh	18/08/2017	21/08/2017	
Senior Tax Advisor	Working Meal	Russell McVeagh	18/08/2017	21/08/2017	
Tax Intern	Working Meal	Russell McVeagh	18/08/2017	21/08/2017	
Senior Advisor	Working Meal	Tuwharetoa Trust Board	18/08/2017	21/08/2017	
Senior Operations Analyst	Working Meal	Northern Trust	18/08/2017	18/08/2017	
GM	Working Meal	Northern Trust	18/08/2017	18/08/2017	
Senior Advisor	Working Meal	Deloitte	21/08/2017	22/08/2017	
Head	Working Meal	IFM Investors	22/08/2017	28/08/2017	
Senior Advisor	Working Meal	Cook Islands Superfund	22/08/2017	23/08/2017	
Senior Analyst	Working Meal	BlackRock	23/08/2017	23/08/2017	
Analyst	Working Meal	BlackRock	23/08/2017	23/08/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
GM	Other	Northern Trust	23/08/2017	21/08/2017	Business class flights, accommodation for two nights, meals and airport transfers as part of GM speaking engagement
Head	Working Meal	Tax Traders	24/08/2017	28/08/2017	
Senior Tax Advisor	Working Meal	Tax Traders	24/08/2017	28/08/2017	
Manager	Working Meal	Tax Traders	24/08/2017	28/08/2017	
Head	Other	Russell McVeagh	24/08/2017	25/08/2017	Attendance at Diversity Works awards dinner
Legal Counsel	Other	Russell McVeagh	24/08/2017	25/08/2017	Attendance at Diversity Works awards dinner
Senior Advisor	Other	Russell McVeagh	24/08/2017	25/08/2017	Attendance at Diversity Works awards dinner
Senior Advisor	Working Meal	Northern Trust	28/08/2017	11/09/2017	
Senior Advisor	Working Meal	Northern Trust	28/08/2017	11/09/2017	
Senior Advisor	Working Meal	Elementum Advisors	29/08/2017	11/09/2017	
Senior Operations Analyst	Working Meal	JPMorgan	1/09/2017	2/09/2017	
Manager	Working Meal	JPMorgan	1/09/2017	2/09/2017	

C1 - Public

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Senior Advisor	Working Meal	Poutama Trust	1/09/2017	1/09/2017	
Head	Working Meal	Datacom	4/09/2017	19/09/2017	
Manager	Working Meal	Datacom	4/09/2017	19/09/2017	
CEO	Working Meal	Samruk-Kazyna	5/09/2017	26/10/2017	
Senior Advisor	Working Meal	Government Technology Fund	5/09/2017	4/10/2017	
Analyst	Working Meal	Government Technology Fund	5/09/2017	4/10/2017	
GM	Working Meal	QIC	6/09/2017	11/09/2017	
Head	Working Meal	QIC	6/09/2017	11/09/2017	
GM	Working Meal	BCIMC	6/09/2017	11/09/2017	
GM	Working Meal	AP2	7/09/2017	11/09/2017	
Head	Working Meal	AP2	7/09/2017	11/09/2017	
CEO	Working Meal	Blended Capital	8/09/2017	26/10/2017	
Senior Advisor	Working Meal	Blended Capital	8/09/2017	26/10/2017	
Manager	Other	Northern Trust	11/09/2017	27/09/2017	Attendance at conference
Head	Other	Northern Trust	11/09/2017	27/09/2017	Attendance at conference

C1 - Public

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Portfolio Manager	Working Meal	Bank of America	11/09/2017	12/09/2017	
Head	Working Meal	Bank of America	11/09/2017	12/09/2017	
Portfolio Manager	Working Meal	Citibank	12/09/2017	20/09/2017	
Portfolio Manager	Working Meal	Citibank	12/09/2017	20/09/2017	
Senior Advisor	Working Meal	Huria Anders (Election debate)	12/09/2017	28/08/2017	
Head	Working Meal	Northern Trust Asset Management	13/09/2017	20/09/2017	
Portfolio Manager	Working Meal	Citibank	13/09/2017	20/09/2017	
Portfolio Manager	Working Meal	Citibank	13/09/2017	20/09/2017	
Senior Advisor	Working Meal	Prospective Investment Partner	13/09/2017	13/09/2017	
Head	Other	PWC - Australia	15/09/2017	10/10/2017	Attendance at PWC Australia Tax Conference Canberra
Portfolio Manager	Working Meal	Northern Trust	18/09/2017	2/10/2017	
Senior Investment Strategist	Working Meal	Northern Trust	18/09/2017	2/10/2017	
Head	Working Meal	Bridgewater	19/09/2017	2/10/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Investment Strategist	Working Meal	Bridgewater	19/09/2017	2/10/2017	
Head	Other	PwC	19/09/2017	25/09/2017	Pre-Roundtable dinner
Senior Advisor	Working Meal	Russell McVeagh	19/09/2017	24/09/2017	
Head	Working Meal	Pzena	20/09/2017	2/10/2017	
Portfolio Manager	Working Meal	Ramius	20/09/2017	2/10/2017	
Head	Working Meal	Ramius	20/09/2017	2/10/2017	
Head	Working Meal	PwC	20/09/2017	25/09/2017	
Head	Other	PwC	20/09/2017	25/09/2017	Formal Roundtable dinner
Head	Working Meal	PwC	21/09/2017	25/09/2017	
Senior Advisor	Working Meal	Wakatu Incorporation	21/09/2017	24/09/2017	
Portfolio Manager	Working Meal	BlackRock	22/09/2017	2/10/2017	
Senior Advisor	Working Meal	Bella Takiari-Brame	22/09/2017	25/09/2017	
Senior Analyst	Working Meal	Mercury Energy	25/09/2017	26/09/2017	
Analyst	Working Meal	Mercury Energy	25/09/2017	26/09/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Head	Other	Techtonics	25/09/2017	19/09/2017	Dinner hosted by Techtonics for all their customers
Head	Other	Techtonics	25/09/2017	19/09/2017	Dinner hosted by Techtonics for all their customers
Head	Working Meal	Techtonics	25/09/2017	14/09/2017	
Head	Working Meal	Techtonics	25/09/2017	14/09/2017	
Senior Analyst	Working Meal	KPMG	27/09/2017	1/10/2017	
Analyst	Working Meal	KPMG	27/09/2017	1/10/2017	
Operational Due Diligence Analyst	Working Meal	BlackRock	29/09/2017	10/10/2017	
Operational Due Diligence Analyst	Working Meal	BlackRock	29/09/2017	10/10/2017	
Senior Tax Advisor	Other	KPMG	29/09/2017	10/10/2017	Attendance and meals at KPMG - SWF and Global Pension Fund Tax Director Forum
Head	Other	KPMG	29/09/2017	10/10/2017	Attendance and meals at KPMG - SWF and Global Pension Fund Tax Director Forum
Portfolio Manager	Working Meal	Citibank	3/10/2017	30/10/2017	
CEO	Working Meal	Tainui Group Holdings	6/10/2017	26/10/2017	

C1 - Public

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Senior Advisor	Working Meal	Tainui Group Holdings	6/10/2017	26/10/2017	
Head	Working Meal	Tainui Group Holdings	6/10/2017	26/10/2017	
Manager	Working Meal	UBS	6/10/2017	6/10/2017	
Head	Working Meal	UBS	6/10/2017	6/10/2017	
GM	Other	Ernst & Young	12/10/2017	13/10/2017	Attendance at Entrepreneur of the Year function
Head	Working Meal	NZVCA	12/10/2017	13/10/2017	
Head	Working Meal	NZVCA	12/10/2017	13/10/2017	
Senior Analyst	Working Meal	Northern Trust	13/10/2017	18/10/2017	
Manager	Working Meal	Morgan Stanley	13/10/2017	16/10/2017	
Head	Working Meal	Morgan Stanley	13/10/2017	16/10/2017	
Head	Working Meal	Morgan Stanley	13/10/2017	16/10/2017	
CEO	Working Meal	World Bank	14/10/2017	26/10/2017	
Legal Counsel	Working Meal	Sullivan & Worcester	16/10/2017	24/10/2017	
Legal Counsel	Working Meal	Sullivan & Worcester	16/10/2017	24/10/2017	
Legal Counsel	Working Meal	Tory's	16/10/2017	24/10/2017	

C1 - Public

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Legal Counsel	Working Meal	Tory's	16/10/2017	24/10/2017	
Senior Analyst	Working Meal	Citibank	16/10/2017	26/09/2017	
Senior Tax Advisor	Other	Deloitte	19/10/2017	28/11/2017	Lunch and dinner at Deloitte Tax Directors Forum
Senior Tax Advisor	Other	Deloitte	19/10/2017	28/11/2017	Lunch and dinner at Deloitte Tax Directors Forum
Head	Other	Deloitte	19/10/2017	28/11/2017	
Head	Working Meal	Russell McVeagh	19/10/2017	25/10/2017	
Portfolio Manager	Working Meal	Russell McVeagh	19/10/2017	25/10/2017	
Analyst	Other	CFA Society	19/10/2017	19/10/2017	Dinner
GM	Working Meal	Ernst & Young	19/10/2017	18/10/2017	
Head	Working Meal	Chapman Tripp	20/10/2017	25/10/2017	
CEO	Working Meal	Tuvalu National Provident Fund	25/10/2017	6/11/2017	
Senior Advisor	Working Meal	Tuvalu National Provident Fund	25/10/2017	6/11/2017	
Executive Assistant to CEO	Working Meal	BusinessNZ	25/10/2017	26/10/2017	
Legal Counsel	Working Meal	PSP Investments	25/10/2017	24/10/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Legal Counsel	Working Meal	PSP Investments	25/10/2017	24/10/2017	
Operational Due Diligence Analyst	Other	Devon Funds Management	25/10/2017	22/09/2017	Drinks, canapes and a private viewing of the Corsini Collection
Portfolio Manager	Working Meal	Movac	26/10/2017	2/11/2017	
Analyst	Working Meal	Movac	26/10/2017	2/11/2017	
Legal Counsel	Working Meal	Russell McVeagh	26/10/2017	26/10/2017	
Head	Working Meal	Barclays	27/10/2017	30/10/2017	
GM	Working Meal	Barclays	27/10/2017	30/10/2017	
Senior Analyst	Working Meal	Northern Trust	1/11/2017	7/11/2017	
Senior Analyst	Working Meal	Blackrock	1/11/2017	6/11/2017	
Senior Analyst	Working Meal	Blackrock	1/11/2017	6/11/2017	
Senior Analyst	Working Meal	Blackrock	2/11/2017	6/11/2017	
Senior Analyst	Working Meal	Blackrock	2/11/2017	6/11/2017	
Senior Advisor	Working Meal	AQR	3/11/2017	14/11/2017	
Senior Investment Strategist	Working Meal	AQR	3/11/2017	14/11/2017	

C1 - Public

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Senior Analyst	Working Meal	Blackrock	3/11/2017	6/11/2017	
Portfolio Manager	Working Meal	Morgan Stanley	6/11/2017	8/12/2017	
Head	Working Meal	Morgan Stanley	6/11/2017	8/12/2017	
Portfolio Manager	Working Meal	Allen & Overy	8/11/2017	8/12/2017	
Head	Working Meal	Allen & Overy	8/11/2017	8/12/2017	
Senior Advisor	Working Meal	Ngati Paoa Iwi Corporate	9/11/2017	14/11/2017	
Head	Working Meal	Kiwibank	9/11/2017	13/11/2017	
Head	Working Meal	Quantifi	10/11/2017	10/11/2017	
Contractor	Working Meal	Quantifi	10/11/2017	10/11/2017	
IT Project Manager	Working Meal	Quantifi	10/11/2017	10/11/2017	
Senior Business Systems Analyst	Working Meal	Quantifi	10/11/2017	10/11/2017	
Derivatives Operations Analyst	Working Meal	Quantifi	10/11/2017	10/11/2017	
Senior Tax Advisor	Other	Russell McVeagh	15/11/2017	28/11/2017	Corporate Tax Managers Dinner Pre CAANZ Tax Conference

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Head	Other	Russell McVeagh	15/11/2017	28/11/2017	Corporate Tax Managers Dinner Pre CAANZ Tax Conference
Head	Working Meal	State Street Global Advisors (SSgA)	15/11/2017	16/11/2017	
Manager	Working Meal	Deutsche Bank	15/11/2017	15/11/2017	
Head	Working Meal	Deutsche Bank	15/11/2017	15/11/2017	
Senior Analyst	Working Meal	State Street Global Advisors (SSgA)	15/11/2017	15/11/2017	
Head	Other	Kiwibank	15/11/2017	13/11/2017	Board strategy day dinner with Board and Management
Manager	Other	Kiwibank	15/11/2017	13/11/2017	Board strategy day dinner with Board and Management
Strategic Development Advisor	Working Meal	Institute of Directors	16/11/2017	20/11/2017	
Head	Working Meal	Kiwibank	16/11/2017	13/11/2017	
Manager	Working Meal	Kiwibank	16/11/2017	13/11/2017	
Head	Working Meal	Datacom	16/11/2017	17/10/2017	
Head	Working Meal	Mint Asset Management	17/11/2017	23/11/2017	

C1 - Public

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Senior Advisor	Other	NZTE	18/11/2017	21/11/2017	Attendance at Federation of Maori Authorities Annual Dinner
Portfolio Manager	Working Meal	BNZ	20/11/2017	23/11/2017	
Senior Analyst	Working Meal	Meridian Energy	20/11/2017	23/11/2017	
Manager	Working Meal	ANZ	22/11/2017	4/12/2017	
Portfolio Manager	Working Meal	ANZ	22/11/2017	30/11/2017	
Senior Tax Advisor	Other	PWC	22/11/2017	28/11/2017	Conference meals at PWC Sovereign Wealth and Pension Fund Tax Forum
Head	Other	PWC	22/11/2017	28/11/2017	Conference meals at PWC Sovereign Wealth and Pension Fund Tax Forum
Manager	Working Meal	ANZ	22/11/2017	24/11/2017	
Portfolio Manager	Working Meal	Direct Capital Partners	23/11/2017	8/12/2017	
Head	Other	Deloitte	23/11/2017	28/11/2017	Attendance at Deloitte Top 200 Awards Dinner
Manager	Working Meal	Citibank	23/11/2017	23/11/2017	
Portfolio Risk Analyst	Working Meal	Citibank	23/11/2017	23/11/2017	
Portfolio Manager	Working Meal	Citibank	23/11/2017	23/11/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Head	Other	Deloitte	23/11/2017	23/11/2017	Attendance at Deloitte Top 200 Awards Dinner
GM	Working Meal	Citibank	23/11/2017	23/11/2017	
Portfolio Manager	Working Meal	Bank of China (NZ)	23/11/2017	22/11/2017	
Portfolio Manager	Working Meal	Canyon	27/11/2017	8/12/2017	
Analyst	Working Meal	Canyon	27/11/2017	8/12/2017	
Head	Working Meal	Ping Cao	27/11/2017	29/11/2017	
Manager	Working Meal	Kiwibank	27/11/2017	28/11/2017	
Portfolio Manager	Working Meal	Canyon	28/11/2017	8/12/2017	
Head	Working Meal	Canyon	28/11/2017	8/12/2017	
Legal Counsel	Working Meal	Bank of America	29/11/2017	29/11/2017	
Head	Working Meal	Bank of America	29/11/2017	29/11/2017	
Senior Operations Analyst	Working Meal	Northern Trust	30/11/2017	11/12/2017	
Manager	Working Meal	Northern Trust	30/11/2017	11/12/2017	
General Counsel	Working Meal	KPMG	30/11/2017	1/12/2017	
Manager	Working Meal	Goldman Sachs	30/11/2017	1/12/2017	

C1 - Public

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Head	Working Meal	Goldman Sachs	30/11/2017	1/12/2017	
Investment Operations Analyst	Working Meal	Goldman Sachs	30/11/2017	1/12/2017	
Portfolio Manager	Working Meal	Goldman Sachs	30/11/2017	1/12/2017	
GM	Working Meal	Goldman Sachs	30/11/2017	1/12/2017	
Manager	Working Meal	Kiwi Wealth Board	30/11/2017	28/11/2017	
Senior Operations Analyst	Working Meal	JPMorgan	1/12/2017	11/12/2017	
Manager	Working Meal	JPMorgan	1/12/2017	11/12/2017	
Senior Operations Analyst	Working Meal	JPMorgan	1/12/2017	11/12/2017	
Manager	Working Meal	JPMorgan	1/12/2017	11/12/2017	
Senior Operations Analyst	Working Meal	Northern Trust	4/12/2017	11/12/2017	
Manager	Working Meal	Northern Trust	4/12/2017	11/12/2017	
Legal Counsel	Other	Russell McVeagh	5/12/2017	8/01/2018	Attendance at Institute of Directors Lunch
Portfolio Manager	Working Meal	NAB/BNZ	5/12/2017	6/12/2017	
Portfolio Manager	Working Meal	NAB/BNZ	5/12/2017	6/12/2017	
Head	Working Meal	NAB/BNZ	5/12/2017	6/12/2017	

C1 - Public

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Senior Advisor	Working Meal	Wairaka Land Co	5/12/2017	5/12/2017	
Senior Analyst	Working Meal	Prospective Investment Partner	5/12/2017	5/12/2017	
Head	Drinks	Datacom	6/12/2017	8/12/2017	
Senior Software Developer	Drinks	Datacom	6/12/2017	8/12/2017	
Analyst	Working Meal	Ngai Tahu Property	6/12/2017	7/12/2017	
Manager	Working Meal	CBA	7/12/2017	7/12/2017	
Manager	Working Meal	CBA	7/12/2017	7/12/2017	
Head	Working Meal	Sweeney Vesty	8/12/2017	30/11/2017	
Senior Analyst	Working Meal	Devon Funds Management	13/12/2017	12/12/2017	
Analyst	Working Meal	Devon Funds Management	13/12/2017	12/12/2017	
Operational Due Diligence Analyst	Working Meal	Devon Funds Management	13/12/2017	12/12/2017	
Senior Tax Advisor	Other	Teresa Farac Limited	18/12/2017	22/12/2017	Lunch
Senior Tax Advisor	Other	Teresa Farac Limited	18/12/2017	22/12/2017	Lunch
Head	Other	Teresa Farac Limited	18/12/2017	22/12/2017	Lunch
Head	Other	Insight Creative	19/12/2017	13/12/2017	Christmas lunch

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Financial Accountant	Other	Insight Creative	19/12/2017	13/12/2017	Christmas lunch
Senior Analyst	Working Meal	Mint Asset Management	19/12/2017	12/12/2017	
Analyst	Working Meal	Mint Asset Management	19/12/2017	12/12/2017	
Analyst	Working Meal	Mint Asset Management	19/12/2017	12/12/2017	
Operational Due Diligence Analyst	Working Meal	Mint Asset Management	19/12/2017	12/12/2017	
Operational Due Diligence Analyst	Working Meal	Mint Asset Management	19/12/2017	12/12/2017	
Manager	Working Meal	Mint Asset Management	19/12/2017	12/12/2017	
Senior Tax Advisor	Other	Deloitte	20/12/2017	22/12/2017	Lunch
Senior Tax Advisor	Other	Deloitte	20/12/2017	22/12/2017	Lunch
Head	Other	Deloitte	20/12/2017	22/12/2017	Lunch
Senior Advisor	Working Meal	ANZ	21/12/2017	21/12/2017	
Senior Investment Strategist	Working Meal	ANZ	21/12/2017	21/12/2017	

Guardians of New Zealand Superannuation - Gift Register
1 July 2017 – 31 December 2017

As outlined in the Guardians’ Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and raffled as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

Position	Description of gift	From	Date	Date Approved	Notes
Head	Book	Bridgewater	20/09/2017	16/10/2017	Retained by staff member - Book of personal interest to staff member
Senior Advisor	Souvenir or Memento	Samruk Kazyna	8/09/2017	11/09/2017	Retained by Guardians - Displayed in Guardians lobby
Senior Advisor	Souvenir or Memento	Northern Trust	28/08/2017	11/09/2017	Added to Xmas raffle
Head	Other	Trilogy Skincare	7/09/2017	11/09/2017	Added to Xmas raffle – Skincare products
Head	Alcohol	Women of Influence Event	7/09/2017	11/09/2017	Added to Xmas raffle
Head	Alcohol	DLA Piper	7/09/2017	11/09/2017	Added to Xmas raffle
Manager	Gift Basket	Comvita	8/09/2017	11/09/2017	Added to Xmas raffle
Analyst	Gift Basket	Comvita	8/09/2017	11/09/2017	Added to Xmas raffle

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Senior Advisor	Gift Basket	Comvita	8/09/2017	11/09/2017	Added to Xmas raffle
Senior Analyst	Other	Prospective Investment Partner	7/09/2017	8/09/2017	Added to Xmas raffle – Honey products
Head	Alcohol	NZ Treasury	6/09/2017	7/09/2017	Added to Xmas raffle
Senior Advisor	Other	NZTE	17/08/2017	22/08/2017	Retained by staff member - Flights for speaking engagement
Manager	Other	Potentia Recruitment Ltd	14/08/2017	15/08/2017	Retained by Guardians – Cake for new starter retained as unlikely to survive until the Xmas Raffle
Business Analyst	Other	Potentia Recruitment Ltd	14/08/2017	15/08/2017	Retained by Guardians – Cake for new starter retained as unlikely to survive until the Xmas Raffle
Human Resources	Alcohol	AUT	9/08/2017	10/08/2017	Added to Xmas raffle
Legal Counsel	Other	Russell McVeagh	9/08/2017	10/08/2017	Retained by Guardians – Chocolates retained as unlikely to survive until the Xmas Raffle
Head	Alcohol	DLA Piper	9/08/2017	9/08/2017	Added to Xmas raffle
Senior Investment Strategist	Other	Conferenz	25/07/2017	26/07/2017	Added to Xmas raffle – Box of chocolates

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Head	Other	Bocconi University Sovereign Investment Lab	18/07/2017	25/07/2017	Added to Xmas raffle – 2 x lightweight Bocconi backpacks
Analyst	Alcohol	PWC	6/07/2017	11/07/2017	Added to Xmas raffle
CEO	Alcohol	Rachel Taulelei, Kono	10/07/2017	11/07/2017	Added to Xmas raffle
CEO	Book	Victoria University	10/07/2017	10/07/2017	Retained by Guardians – added to staff library
Senior Analyst	Other	Mercury Energy	2/10/2017	9/10/2017	Added to Xmas raffle - Backpack
Analyst	Other	Mercury Energy	2/10/2017	9/10/2017	Added to Xmas raffle - Backpack
Senior Analyst	Gift Basket	Northern Trust	12/10/2017	18/10/2017	Added to Xmas raffle
Senior Investment Strategist	Alcohol	Financial Markets Authority	12/10/2017	12/10/2017	Added to Xmas raffle
Head	Souvenir or Memento	Prospective Investment Partner	17/10/2017	25/10/2017	Added to Xmas Raffle
Head	Souvenir or Memento	Institutional Investors Roundtable (IIR)	25/10/2017	31/10/2017	Added to Xmas Raffle
CEO	Other	Tuvalu National Provident Fund	27/10/2017	1/11/2017	Retained by Staff Member - Gifts of a personal nature therefore retained by staff member

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Senior Advisor	Other	Tuvalu National Provident Fund	27/10/2017	1/11/2017	Retained by Staff Member - Gifts of a personal nature therefore retained by staff member
Head	Other	CFA Society	1/11/2017	6/11/2017	Added to Xmas Raffle – Toy Porsche racing car
Head	Alcohol	CFA Society	1/11/2017	6/11/2017	Added to Xmas Raffle
Human Resources Coordinator	Book	Human Synergistics	3/11/2017	17/11/2017	Added to Xmas Raffle
Head	Book	Human Synergistics	3/11/2017	17/11/2017	Added to Xmas Raffle
Senior Investment Strategist	Alcohol	KPMG	3/11/2017	6/11/2017	Added to Xmas Raffle
Head	Alcohol	NZ Tax Traders	6/11/2017	6/11/2017	Added to Xmas Raffle
Manager	Souvenir or Memento	Pacific Pension & Investment Institute (PPI)	10/11/2017	13/11/2017	Added to Xmas Raffle
GM	Souvenir or Memento	Industrial and Commercial Bank of China (ICBC)	10/11/2017	15/11/2017	Retained by Guardians - Displayed in Guardians lobby
Senior Software Developer	Other	Potentia Recruitment Ltd	14/11/2017	14/11/2017	Retained by Guardians – Cake for new starter retained as unlikely to survive until the Xmas Raffle

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Head	Other	First NZ Capital	22/11/2017	23/11/2017	Added to Xmas Raffle - Kathryn Wilson gift voucher
Head	Alcohol	Ping Cao	27/11/2017	29/11/2017	Added to Xmas Raffle
Head	Accommodation	The Association of Superannuation Funds of Australia Limited	28/11/2017	9/11/2017	Retained by staff member - Flights and accommodation for speaking engagement
Head	Book	ASFA	30/11/2017	5/12/2017	Added to Xmas Raffle
CEO	Other	Mainfreight	4/12/2017	5/12/2017	Added to Xmas Raffle - Fire extinguisher, alarm and 2018 calendar
Analyst	Other	Ngai Tahu Property	5/12/2017	12/12/2017	Added to Xmas Raffle - Young native plants in gift box
Senior Analyst	Other	Ngai Tahu Property	5/12/2017	12/12/2017	Added to Xmas Raffle - Young native plants in gift box
Head	Other	Ngai Tahu Property	5/12/2017	12/12/2017	Added to Xmas Raffle - Young native plants in gift box
Senior Advisor	Other	Ngai Tahu Property	5/12/2017	12/12/2017	Added to Xmas Raffle - Young native plants in gift box
GM	Other	Ngai Tahu Property	5/12/2017	12/12/2017	Added to Xmas Raffle - Young native plants in gift box

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
CEO	Other	Ngai Tahu Property	5/12/2017	12/12/2017	Added to Xmas Raffle - Young native plants in gift box
Legal Counsel	Other	Ngai Tahu Property	5/12/2017	12/12/2017	Added to Xmas Raffle - Young native plants in gift box
Head	Other	Mercer	5/12/2017	5/12/2017	Added to Xmas Raffle - \$50 Cash Gift card
Head	Accommodation	Datacom	7/12/2017	7/12/2017	Added to Xmas Raffle – Christmas party spot prize
Head	Alcohol	Air NZ	11/12/2017	11/12/2017	Added to Xmas Raffle
Senior Analyst	Gift Basket	Northern Trust	11/12/2017	11/12/2017	Added to Xmas Raffle
Head	Other	NZ Tax Traders	11/12/2017	11/12/2017	Added to Xmas Raffle – Drink bottles (2)
Analyst	Book	Chatswood Consulting	13/12/2017	14/12/2017	Added to Xmas Raffle
Analyst	Other	First NZ Capital	13/12/2017	14/12/2017	Added to Xmas Raffle - Kathryn Wilson gift voucher
Senior Analyst	Other	Devon Funds	13/12/2017	14/12/2017	Retained by Guardians – Boxes of cherries retained as unlikely to survive until the Xmas Raffle
Analyst	Other	Devon Funds	13/12/2017	14/12/2017	Retained by Guardians – Boxes of cherries retained as unlikely to survive until the Xmas Raffle

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Operational Due Diligence Analyst	Other	Devon Funds	13/12/2017	14/12/2017	Retained by Guardians – Boxes of cherries retained as unlikely to survive until the Xmas Raffle
Operational Due Diligence Analyst	Other	Devon Funds	13/12/2017	14/12/2017	Retained by Guardians – Boxes of cherries retained as unlikely to survive until the Xmas Raffle
Analyst	Other	Colliers	13/12/2017	13/12/2017	Retained by Guardians – Boxes of cherries retained as unlikely to survive until the Xmas Raffle
Senior Analyst	Other	Colliers	13/12/2017	13/12/2017	Retained by Guardians – Boxes of cherries retained as unlikely to survive until the Xmas Raffle
Accountant	Other	Theta	13/12/2017	13/12/2017	Retained by Guardians – Cake retained as unlikely to survive until the Xmas Raffle
Head	Other	Grafton Consulting	14/12/2017	14/12/2017	Added to Xmas Raffle - Pandoro Chocolate Brownies
Human Resources Coordinator	Other	Grafton Consulting	14/12/2017	14/12/2017	Added to Xmas Raffle - Pandoro Chocolate Brownies
Human Resources	Other	Theta	14/12/2017	14/12/2017	Added to Xmas Raffle - Chocolates
Head	Gift Basket	Convergence Partners	15/12/2017	15/12/2017	Added to Xmas Raffle

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Head	Alcohol	Interview Candidate	18/12/2017	19/12/2017	Added to Xmas Raffle
Senior Advisor	Other	Te Arawa Group Holdings	18/12/2017	18/12/2017	Retained by Guardians – Box of avocados retained as unlikely to survive until the Xmas Raffle
Head	Other	Clearpoint	19/12/2017	20/12/2017	Added to Xmas Raffle - Book, Christmas Cake, Wine
CEO	Alcohol	Toyota Finance	19/12/2017	20/12/2017	Added to Xmas Raffle
Portfolio Manager	Alcohol	Toyota Finance	19/12/2017	20/12/2017	Added to Xmas Raffle
Head	Alcohol	Toyota Finance	19/12/2017	20/12/2017	Added to Xmas Raffle
Head	Other	Sweeney Vesty	20/12/2017	20/12/2017	Added to Xmas Raffle - Waterford Crystal
Head	Gift Basket	PWC	21/12/2017	12/01/2018	Added to Xmas Raffle
Senior Advisor	Other	Bridgewater	21/12/2017	9/01/2018	Donation USD \$2,000 gifted to Women's Refuge
GM	Other	Industrial and Commercial Bank of China (ICBC)	21/12/2017	21/12/2017	Added to Xmas Raffle - ICBC branded Cheese Board and utensils
Head	Other	Industrial and Commercial Bank of China (ICBC)	21/12/2017	21/12/2017	Added to Xmas Raffle - ICBC branded Cheese Board and utensils

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Manager	Other	Industrial and Commercial Bank of China (ICBC)	21/12/2017	21/12/2017	Added to Xmas Raffle - ICBC branded Cheese Board and utensils
Senior Economist	Other	Industrial and Commercial Bank of China (ICBC)	21/12/2017	21/12/2017	Added to Xmas Raffle - ICBC branded Cheese Board and utensils
Head	Other	Industrial and Commercial Bank of China (ICBC)	21/12/2017	21/12/2017	Added to Xmas Raffle - ICBC branded Cheese Board and utensils
Portfolio Manager	Other	Industrial and Commercial Bank of China (ICBC)	21/12/2017	21/12/2017	Added to Xmas Raffle - ICBC branded Cheese Board and utensils
Travel Manager	Other	Orbit World Travel	21/12/2017	21/12/2017	Added to Xmas Raffle - Tin of cookies
Head	Other	NZ Gourmet	22/12/2017	31/01/2018	Retained by Guardians – Fruit and vegetables retained as unlikely to survive until the Xmas Raffle