

## C1 - Public

### Guardians of New Zealand Superannuation - Hospitality Register 1 January 2017 – 30 June 2017

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Manager	Working Meal	ANZ	18/01/2017	18/01/2017	
Head	Working Meal	Citibank	26/01/2017	24/01/2017	
Portfolio Manager	Working Meal	Citibank	26/01/2017	24/01/2017	
CEO	Working Meal	Prospective Investment Partner	2/02/2017	17/03/2017	
Senior Operations Analyst	Working Meal	Northern Trust	2/02/2017	7/02/2017	
GM	Other	Goldman Sachs	2/02/2017	23/01/2017	Annual Global Macro Conference - Australia and New Zealand 2017
CEO	Working Meal	Palgrave and Alberta	7/02/2017	1/05/2017	
Legal Counsel	Working Meal	Palgrave and Alberta	7/02/2017	1/05/2017	

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<b>Position</b>	<b>Description of hospitality</b>	<b>From</b>	<b>Date Received</b>	<b>Date Approved</b>	<b>Notes</b>
Legal Counsel	Working Meal	Palgrove and Alberta	7/02/2017	1/05/2017	
GM	Working Meal	Palgrove and Alberta	7/02/2017	1/05/2017	
Manager	Working Meal	Palgrove and Alberta	7/02/2017	1/05/2017	
Head	Working Meal	Palgrove and Alberta	7/02/2017	1/05/2017	
Receptionist	Working Meal	Palgrove and Alberta	7/02/2017	1/05/2017	
GM	Working Meal	ANZ	7/02/2017	20/02/2017	
Head	Working Meal	ANZ	7/02/2017	20/02/2017	
Manager	Working Meal	ANZ	7/02/2017	20/02/2017	
Head	Working Meal	Institutional Shareholder Services (ISS)	8/02/2017	13/02/2017	
Senior Investment Strategist	Working Meal	Institutional Shareholder Services (ISS)	8/02/2017	13/02/2017	
Analyst	Working Meal	Institutional Shareholder Services (ISS)	8/02/2017	13/02/2017	
GM	Working Meal	Westpac	10/02/2017	10/02/2017	
Head	Working Meal	Standard Life Investments	13/02/2017	13/02/2017	
Head	Working Meal	Standard Life Investments	13/02/2017	13/02/2017	

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<b>Position</b>	<b>Description of hospitality</b>	<b>From</b>	<b>Date Received</b>	<b>Date Approved</b>	<b>Notes</b>
GM	Working Meal	Standard Life Investments	13/02/2017	13/02/2017	
Head	Working Meal	Arthur Cox	14/02/2017	21/02/2017	
Portfolio Manager	Working Meal	Arthur Cox	14/02/2017	21/02/2017	
Analyst	Working Meal	Ngai Tahu Property	14/02/2017	15/02/2017	
Manager	Working Meal	First NZ Capital	14/02/2017	14/02/2017	
Head	Working Meal	Lexel	16/02/2017	17/02/2017	
Manager	Working Meal	Lexel	16/02/2017	17/02/2017	
Manager	Working Meal	Lexel	16/02/2017	17/02/2017	
Manager	Working Meal	Lexel	16/02/2017	17/02/2017	
Head	Working Meal	Morgan Stanley	17/02/2017	21/02/2017	
Portfolio Manager	Working Meal	Morgan Stanley	17/02/2017	21/02/2017	
Manager	Working Meal	Westpac	17/02/2017	17/02/2017	
Senior Analyst	Working Meal	Northern Trust	17/02/2017	15/02/2017	
Senior Investment Strategist	Working Meal	Northern Trust	17/02/2017	15/02/2017	
Analyst	Working Meal	Northern Trust	17/02/2017	15/02/2017	

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<b>Position</b>	<b>Description of hospitality</b>	<b>From</b>	<b>Date Received</b>	<b>Date Approved</b>	<b>Notes</b>
Senior Advisor	Working Meal	Northern Trust	17/02/2017	15/02/2017	
Senior Tax Advisor	Other	Ernst & Young	21/02/2017	22/03/2017	Tax Directors Forum
Head	Other	Ernst & Young	21/02/2017	22/03/2017	Tax Directors Forum
Senior Advisor	Working Meal	Te Arawa Group Holdings Ltd	21/02/2017	23/02/2017	
General Counsel	Working Meal	Westpac	23/02/2017	23/02/2017	
Manager	Working Meal	CBA	24/02/2017	21/02/2017	
Senior Advisor	Working Meal	Elementum Advisors	27/02/2017	27/02/2017	
Head	Working Meal	Blackrock	2/03/2017	28/03/2017	
CEO	Working Meal	VFMC	2/03/2017	17/03/2017	
Portfolio Manager	Working Meal	Goldman Sachs	2/03/2017	10/03/2017	
Portfolio Manager	Working Meal	Goldman Sachs	2/03/2017	10/03/2017	
Portfolio Manager	Working Meal	Citibank	2/03/2017	10/03/2017	
Portfolio Manager	Working Meal	Citibank	2/03/2017	10/03/2017	
Head	Working Meal	Blackrock	2/03/2017	7/03/2017	
General Counsel	Working Meal	Russell McVeagh	2/03/2017	6/03/2017	

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Senior Tax Advisor	Other	Chapman Tripp	3/03/2017	8/03/2017	Meal with Tax Directors at IFA Tax Conference
Senior Tax Advisor	Other	Chapman Tripp	3/03/2017	8/03/2017	Meal with Tax Directors at IFA Tax Conference
Head	Other	Chapman Tripp	3/03/2017	8/03/2017	Meal with Tax Directors at IFA Tax Conference
Operational Due Diligence Analyst	Working Meal	Creative Spaces	6/03/2017	7/03/2017	
Senior Analyst	Working Meal	BlackRock	8/03/2017	10/03/2017	
Senior Advisor	Working Meal	BlackRock	8/03/2017	10/03/2017	
Head	Working Meal	BlackRock	8/03/2017	10/03/2017	
General Counsel	Working Meal	Australian (and others) Peers Forum	9/03/2017	1/05/2017	
Legal Counsel	Working Meal	Australian (and others) Peers Forum	9/03/2017	1/05/2017	
Legal Counsel	Working Meal	Australian (and others) Peers Forum	9/03/2017	1/05/2017	
Legal Counsel	Working Meal	Australian (and others) Peers Forum	9/03/2017	1/05/2017	

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Legal Counsel	Working Meal	Australian (and others) Peers Forum	9/03/2017	1/05/2017	
Legal Counsel	Working Meal	Australian (and others) Peers Forum	9/03/2017	1/05/2017	
Legal Administrator	Working Meal	Australian (and others) Peers Forum	9/03/2017	1/05/2017	
GM	Working Meal	Northern Trust	9/03/2017	13/03/2017	
Head	Working Meal	Northern Trust	9/03/2017	13/03/2017	
Head	Working Meal	Citibank	10/03/2017	14/03/2017	
Head	Working Meal	Citibank	10/03/2017	14/03/2017	
Head	Working Meal	Citibank	10/03/2017	13/03/2017	
Head	Working Meal	Citibank	13/03/2017	14/03/2017	
GM	Working Meal	Citibank	13/03/2017	14/03/2017	
Portfolio Manager	Working Meal	Citibank	13/03/2017	14/03/2017	
Portfolio Manager	Working Meal	Citibank	13/03/2017	14/03/2017	
Head	Working Meal	Citibank	13/03/2017	14/03/2017	
Head	Working Meal	Prospective Investment Partner	14/03/2017	2/05/2017	

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Analyst	Working Meal	Prospective Investment Partner	14/03/2017	2/05/2017	
Senior Analyst	Working Meal	Forsyth Barr	14/03/2017	14/03/2017	
Manager	Working Meal	Forsyth Barr	14/03/2017	14/03/2017	
CEO	Other	Fairfax Media	15/03/2017	13/04/2017	CFO Awards – attendance for acceptance of award
GM	Other	Fairfax Media	15/03/2017	13/04/2017	CFO Awards – attendance for acceptance of award
Manager	Working Meal	British Government (German Embassy)	20/03/2017	13/04/2017	
Manager	Working Meal	IFC Asset Management	20/03/2017	13/04/2017	
Senior Investment Strategist	Working Meal	IFC Asset Management	20/03/2017	13/04/2017	
Manager	Other	Global Forest Partners	27/03/2017	27/04/2017	Accommodation and meals for all attendees at the annual client conference
Head	Working Meal	Goldman Sachs	27/03/2017	7/04/2017	
Manager	Working Meal	Goldman Sachs	27/03/2017	4/04/2017	
IT Project Manager	Working Meal	Quantifi Solutions	28/03/2017	31/03/2017	
Head	Working Meal	Quantifi Solutions	28/03/2017	31/03/2017	

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Senior Analyst	Working Meal	Infratil	28/03/2017	31/03/2017	
Senior Analyst	Working Meal	Forsyth Barr	28/03/2017	31/03/2017	
Senior Analyst	Working Meal	Infratil	29/03/2017	31/03/2017	
Senior Operations Analyst	Working Meal	Northern Trust	29/03/2017	29/03/2017	
Manager	Working Meal	Northern Trust	29/03/2017	29/03/2017	
Manager	Working Meal	Newchange FX	30/03/2017	4/04/2017	
GM	Working Meal	GIC	3/04/2017	4/04/2017	
Head	Working Meal	GIC	3/04/2017	4/04/2017	
GM	Working Meal	Citibank	4/04/2017	4/04/2017	
GM	Working Meal	Citibank	4/04/2017	31/03/2017	
Operational Due Diligence Analyst	Working Meal	Devon Funds Management	4/04/2017	10/03/2017	
Head	Working Meal	Citibank	5/04/2017	7/04/2017	
GM	Working Meal	Citibank	5/04/2017	7/04/2017	
Portfolio Manager	Working Meal	Citibank	5/04/2017	7/04/2017	
Portfolio Manager	Working Meal	Citibank	5/04/2017	7/04/2017	



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Head	Working Meal	Aligned Intermediary	6/04/2017	2/05/2017	
Senior Tax Advisor	Working Meal	PWC - Australia	6/04/2017	11/04/2017	
Head	Working Meal	PWC - Australia	6/04/2017	11/04/2017	
Senior Analyst	Working Meal	Trustpower	6/04/2017	10/04/2017	
Portfolio Manager	Working Meal	Goldman Sachs	6/04/2017	6/04/2017	
Head	Working Meal	NZ Gourmet	10/04/2017	12/04/2017	
Analyst	Working Meal	NZ Gourmet	10/04/2017	12/04/2017	
Analyst	Working Meal	NZ Gourmet	10/04/2017	12/04/2017	
Senior Advisor	Working Meal	Wakatu Incorporation	11/04/2017	12/04/2017	
Head	Other	Datacom	11/04/2017	11/04/2017	Attendance at Microsoft Partner Awards
Senior Tax Advisor	Working Meal	PwC NZ	12/04/2017	5/07/2017	
Manager	Working Meal	Datacom	12/04/2017	12/04/2017	
IT Support Analyst	Working Meal	Datacom	12/04/2017	12/04/2017	
Head	Working Meal	Datacom	12/04/2017	12/04/2017	
Legal Counsel	Working Meal	Russell McVeagh	19/04/2017	1/05/2017	
Head	Working Meal	New Change FX	20/04/2017	7/04/2017	

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Operational Due Diligence Analyst	Other	IRRUSA / Knect365	23/04/2017	18/04/2017	Attendance at GAIM OPs DD Conference
Head	Working Meal	ANZ	26/04/2017	2/05/2017	
Analyst	Working Meal	ARC Fiducuary	1/05/2017	8/05/2017	
Head	Working Meal	Morrison & Co	1/05/2017	2/05/2017	
Portfolio Risk Analyst	Working Meal	Ortec Finance	2/05/2017	9/05/2017	
Senior Advisor	Working Meal	AQR	3/05/2017	4/05/2017	
GM	Working Meal	ACC	3/05/2017	4/05/2017	
Head	Working Meal	ACC	3/05/2017	4/05/2017	
Head	Working Meal	Oaktree	9/05/2017	21/06/2017	
Portfolio Manager	Working Meal	Oaktree	9/05/2017	21/06/2017	
CEO	Working Meal	IFSWF	9/05/2017	16/05/2017	
Manager	Working Meal	China Infrastructure Partners	10/05/2017	6/06/2017	
Manager	Working Meal	China Infrastructure Partners	11/05/2017	6/06/2017	
Manager	Working Meal	China Infrastructure Partners	11/05/2017	6/06/2017	
CEO	Working Meal	State Street Global Advisors	11/05/2017	22/05/2017	

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Position	Description of hospitality	From	Date Received	Date Approved	Notes
CEO	Other	State Street Global Advisors	11/05/2017	12/05/2017	Return flights and accommodation
Senior Advisor	Other	NZ Maori Tourism	12/05/2017	29/05/2017	Attendance at Maori Business Awards
Senior Analyst	Other	Devon Funds Management	18/05/2017	31/05/2017	Attendance at CFA conference - Disruptive Innovation in Financial Services
Senior Investment Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Derivatives Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Investment Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Investment Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Investment Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Manager	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Senior Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust

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Investment Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Manager	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Senior Investment Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Investment Operations Analyst	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
GM	Other	Northern Trust	18/05/2017	19/05/2017	Staff leaving dinner - part of the bill was paid for by Northern Trust
Senior Analyst	Other	Forsyth Barr	18/05/2017	18/05/2017	Attendance at INFINZ Awards Dinner
Manager	Working Meal	Citibank	18/05/2017	16/05/2017	
Portfolio Manager	Working Meal	Citibank	18/05/2017	16/05/2017	
Senior Financial Accountant	Working Meal	Theta	18/05/2017	9/05/2017	
Manager	Working Meal	Theta	18/05/2017	9/05/2017	
Accountant	Working Meal	Theta	18/05/2017	9/05/2017	
General Counsel	Working Meal	Allens	19/05/2017	18/05/2017	

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Legal Counsel	Working Meal	Allens	19/05/2017	18/05/2017	
GM	Working Meal	Citibank	22/05/2017	2/06/2017	
Head	Working Meal	Citibank	22/05/2017	2/06/2017	
GM	Working Meal	Goldman Sachs	23/05/2017	2/06/2017	
Head	Working Meal	Goldman Sachs	23/05/2017	2/06/2017	
GM	Working Meal	Cutter	24/05/2017	2/06/2017	
Head	Working Meal	Cutter	24/05/2017	2/06/2017	
General Counsel	Working Meal	Trans-Tasman Business Circle	26/05/2017	22/05/2017	
Manager	Working Meal	PSP Investments	29/05/2017	3/07/2017	
Manager	Working Meal	Prospective Investment Partner	31/05/2017	3/07/2017	
CEO	Working Meal	Russian Direct Investment Fund	31/05/2017	16/06/2017	
Portfolio Manager	Working Meal	Morgan Stanley	6/06/2017	21/06/2017	
Portfolio Manager	Working Meal	Morgan Stanley	6/06/2017	21/06/2017	
GM	Working Meal	EY - CFO Forum dinner	6/06/2017	7/06/2017	
Portfolio Manager	Working Meal	Bank of America	7/06/2017	21/06/2017	
Portfolio Manager	Working Meal	Bank of America	7/06/2017	21/06/2017	

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Head	Working Meal	Op Trust	7/06/2017	12/06/2017	
General Counsel	Working Meal	OMERS	7/06/2017	12/06/2017	
Senior Advisor	Working Meal	Berta Danielsdottir	8/06/2017	12/06/2017	
Analyst	Working Meal	Berta Danielsdottir	8/06/2017	12/06/2017	
Head	Working Meal	Canada Pension Plan Investment Board (CPPIB)	8/06/2017	12/06/2017	
CEO	Working Meal	Kiwi Investment Partners + PM Capital Charity	9/06/2017	16/06/2017	
Senior Advisor	Working Meal	Ingiv	9/06/2017	12/06/2017	
Analyst	Working Meal	Ingiv	9/06/2017	12/06/2017	
Operational Due Diligence Analyst	Other	BlackRock	12/06/2017	4/07/2017	Risk & Operational Excellence Academy for institutional clients
Senior Advisor	Working Meal	Invest in Denmark	12/06/2017	19/06/2017	
Analyst	Working Meal	Invest in Denmark	12/06/2017	19/06/2017	
Senior Advisor	Working Meal	Invest in Denmark	13/06/2017	19/06/2017	
Analyst	Working Meal	Invest in Denmark	13/06/2017	19/06/2017	
Senior Advisor	Working Meal	Invest in Denmark	14/06/2017	19/06/2017	

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<b>Position</b>	<b>Description of hospitality</b>	<b>From</b>	<b>Date Received</b>	<b>Date Approved</b>	<b>Notes</b>
Analyst	Working Meal	Invest in Denmark	14/06/2017	19/06/2017	
CEO	Working Meal	CNI Iwi Holdings	15/06/2017	16/06/2017	
Manager	Working Meal	CNI Iwi Holdings	15/06/2017	16/06/2017	
Head	Other	Panuku	16/06/2017	9/06/2017	Attendance at Property Council Awards Dinner
Senior Advisor	Working Meal	Leadenhall	19/06/2017	6/07/2017	
Senior Advisor	Sporting Event	Prospective Investment Partner	20/06/2017	10/07/2017	
Senior Analyst	Sporting Event	Prospective Investment Partner	20/06/2017	10/07/2017	
Investment Operations Analyst	Working Meal	Northern Trust	20/06/2017	3/07/2017	
Manager	Working Meal	Citibank	20/06/2017	20/06/2017	
Head	Working Meal	Future Fund	21/06/2017	3/07/2017	
Communications Advisor	Working Meal	Future Fund	21/06/2017	3/07/2017	
Senior Operations Analyst	Working Meal	Northern Trust	21/06/2017	26/06/2017	
Manager	Working Meal	Goldman Sachs	21/06/2017	22/06/2017	
Head	Working Meal	Goldman Sachs	21/06/2017	22/06/2017	
Portfolio Manager	Working Meal	Goldman Sachs	21/06/2017	22/06/2017	

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Senior Advisor	Working Meal	Leadenhall	21/06/2017	16/06/2017	
Head	Working Meal	Bridgewater	22/06/2017	29/06/2017	
Head	Working Meal	Bridgewater	22/06/2017	29/06/2017	
Senior Analyst	Working Meal	Bridgewater	22/06/2017	29/06/2017	
Head	Working Meal	NZ Home Loans	22/06/2017	22/06/2017	
Manager	Sporting Event	Human Synergistics	24/06/2017	29/06/2017	
Senior Advisor	Working Meal	David Turner (Sequal)	27/06/2017	27/06/2017	
Portfolio Manager	Working Meal	Canyon	28/06/2017	7/07/2017	
Analyst	Working Meal	Wakatu Incorporation	29/06/2017	30/06/2017	
Senior Advisor	Working Meal	Wakatu Incorporation	29/06/2017	29/06/2017	



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### Guardians of New Zealand Superannuation - Gift Register 1 January 2017 – 30 June 2017

As outlined in the Guardians' Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and raffled as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

Position	Description of gift	From	Date	Date Approved	Notes
Head	Book	Canyon Partners	10/01/2017	10/01/2017	Added to Xmas Raffle
Manager	Book	Canyon Partners	11/01/2017	11/01/2017	Retained by Staff Member – Standard books of nominal cost
Operational Due Diligence Analyst	Book	Aksia	18/01/2017	18/01/2017	Added to Xmas Raffle
Head	Book	Aksia	18/01/2017	18/01/2017	Added to Xmas Raffle
Operational Due Diligence Analyst	Book	Aksia	18/01/2017	18/01/2017	Added to Xmas Raffle
Senior Software Developer	Other	Potentia Recruitment	14/02/2017	20/02/2017	Retained by Guardians – Cake for new starter retained as unlikely to survive until the Xmas Raffle

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Senior Operations Analyst	Other	Northern Trust	21/02/2017	9/02/2017	Retained by staff member – Complimentary ticket to the Investment Operations Conference retained due to time sensitive nature of the gift
Head	Other	Northern Trust	21/02/2017	9/02/2017	Retained by staff member – Complimentary ticket to the Investment Operations Conference retained due to time sensitive nature of the gift
Head	Other	Direct Capital	9/03/2017	13/03/2017	Added to Xmas Raffle – Engraved hip flask gifted to all participants of conference
Travel Manager	Book	Emirates	13/03/2017	14/03/2017	Added to Xmas Raffle
Head	Book	Ernst & Young	14/03/2017	14/03/2017	Retained by Guardians – Copies of EY International GAAP 2017 were gifted to members of the Finance team by EY. This publication is used as a reference for everyday Finance work
Senior Accountant	Book	Ernst & Young	14/03/2017	14/03/2017	Retained by Guardians – Copies of EY International GAAP 2017 were gifted to members of the Finance team by EY. This publication is used as a reference for everyday Finance work

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Senior Financial Accountant	Book	Ernst & Young	14/03/2017	14/03/2017	Retained by Guardians – Copies of EY International GAAP 2017 were gifted to members of the Finance team by EY. This publication is used as a reference for everyday Finance work
Senior Advisor	Other	NZTE	21/03/2017	14/03/2017	Retained by Guardians - Accommodation and flights provided by conference organisers as this was a speaking engagement
Head	Other	Nicola Lee	29/03/2017	29/03/2017	Retained by Guardians – Sweets retained as unlikely to survive until the Xmas Raffle
Head	Other	NZ Gourmet	11/04/2017	12/04/2017	Retained by Guardians – Fruit and vegetables retained as unlikely to survive until the Xmas Raffle
Travel Manager	Gift Basket	Orbit World Travel	11/04/2017	11/04/2017	Retained by Guardians – Easter gift basket retained as unlikely to survive until the Xmas Raffle
Analyst	Other	Ernst & Young	3/05/2017	13/07/2017	Retained by staff member – Complimentary ticket to the Australasian Emissions Reduction Summit retained due to time sensitive nature of the gift
CEO	Alcohol	Winsborough	4/05/2017	9/05/2017	Added to Xmas Raffle

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CEO	Book	Campbell Macpherson	9/05/2017	16/05/2017	Retained by Guardians – added to staff library
Senior Economist	Souvenir or Memento	Asabri - Indonesian Military Savings Fund	10/05/2017	11/05/2017	Retained by Guardians - Displayed in Guardians lobby
Senior Investment Strategist	Souvenir or Memento	Asabri - Indonesian Military Savings Fund	10/05/2017	11/05/2017	Retained by Guardians - Displayed in Guardians lobby
Head	Souvenir or Memento	Asabri - Indonesian Military Savings Fund	10/05/2017	11/05/2017	Retained by Guardians - Displayed in Guardians lobby
CEO	Book	State Street Global Advisors	11/05/2017	16/05/2017	Retained by Guardians – added to staff library
GM	Other	Chartered Accountants of Australia & New Zealand (CAANZ)	11/05/2017	12/05/2017	Added to Xmas Raffle – thank you gift for speaking engagement
Head	Other	RCI	15/05/2017	15/05/2017	Retained by Guardians – Chocolates retained as unlikely to survive until the Xmas Raffle
Operational Due Diligence Analyst	Alcohol	Financial Markets Authority	25/05/2017	26/05/2017	Added to Xmas Raffle
Operational Due Diligence Analyst	Alcohol	Financial Markets Authority	25/05/2017	26/05/2017	Added to Xmas Raffle
Head	Alcohol	Financial Markets Authority	25/05/2017	26/05/2017	Added to Xmas Raffle

**C1 - Public**

<b>Position</b>	<b>Description of gift</b>	<b>From</b>	<b>Date</b>	<b>Date Approved</b>	<b>Notes</b>
CEO	Book	Russian Direct Investment Fund (RDIF)	2/06/2017	12/06/2017	Added to Xmas Raffle
Analyst	Other	Valfoss	8/06/2017	19/06/2017	Retained by staff member - Product samples - small fish leather makeup bag and coin bag. Used for show and tell purposes at the Guardians then taken home
Senior Advisor	Other	Valfoss	8/06/2017	19/06/2017	Retained by staff member - Product samples - small fish leather makeup bag and coin bag. Used for show and tell purposes at the Guardians then taken home
Analyst	Other	Feel Iceland	8/06/2017	19/06/2017	Retained by staff member - Product samples of fish collagen products. Used for show and tell purposes at the Guardians then taken home
Senior Advisor	Other	Feel Iceland	8/06/2017	19/06/2017	Retained by staff member - Product samples of fish collagen products. Used for show and tell purposes at the Guardians then taken home

**C1 - Public**

<b>Position</b>	<b>Description of gift</b>	<b>From</b>	<b>Date</b>	<b>Date Approved</b>	<b>Notes</b>
Senior Advisor	Other	Islensk Hollusta	9/06/2017	10/06/2017	Retained by staff member – Cooking materials including salt, jam, angelica seeds, etc. Used for show and tell purposes at the Guardians then taken home
Legal Counsel	Souvenir or Memento	International Swaps and Derivatives Association	20/06/2017	20/06/2017	Added to Xmas Raffle
Senior Advisor	Other	Securis	21/06/2017	6/07/2017	Added to Xmas Raffle
Portfolio Manager	Other	KKR	26/06/2017	17/07/2017	Retained by staff member – Book and umbrella gifted to all attendees at KKR's annual Americas Investors Meeting
Senior Advisor	Other	Kono NZ	30/06/2017	3/07/2017	Added to Xmas Raffle