

Guardians of New Zealand Superannuation - Hospitality Register 1 May 2011 – 30 April 2012

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Position	Description of hospitality	From	Date	Date Approved	Notes
Head	Dinner	Bunge	03/05/2011		
Manager	Dinner	Bunge	03/05/2011		
Head	Dinner	Agriculture Investment Group	04/05/2011		
Manager	Dinner	Hancock Agriculture Investment Group	04/05/2011		
Senior Analyst	Dinner	Northern Trust	10/05/2011		
Manager	Dinner	Northern Trust	10/05/2011		
Analyst	Dinner	Northern Trust	10/05/2011		
Senior Analyst	Dinner	Northern Trust	10/05/2011		
Administrator	Dinner	Northern Trust	10/05/2011		
Head	Dinner	Northern Trust	10/05/2011		
Administrator	Dinner	Northern Trust	10/05/2011		
Legal Counsel	Dinner	Russell McVeagh	11/05/2011		INFINZ Awards Dinner
CEO	Dinner	Ernst & Young	24/05/2011		
Manager	Dinner	KKR	25/05/2011		Conference associated dinner
Head	Dinner	Ernst & Young	25/05/2011		
Head	Dinner	GMO	30/05/2011		
Manager	Dinner	GMO	30/05/2011		
Manager	Dinner	Sheridan Lee	01/06/2011		
General Counsel	Dinner	Stikeman	02/06/2011		
GM	Dinner	Northern Trust	23/06/2011		

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Head	Dinner	Northern Trust	23/06/2011		
Head	Dinner	Northern Trust	23/06/2011		
Head	Rugby	Ricoh	24/06/2011		
Engineer	Rugby	Ricoh	24/06/2011		
Manager	Lunch	Morgan Stanley	03/07/2011		
Legal Counsel	Lunch	Morgan Stanley	03/07/2011		
Head	Dinner	Korean Investment Corporation	11/07/2011		
GM	Dinner	Korean Investment Corporation	11/07/2011		
Head	Lunch	China Social Security Fund	15/07/2011		
GM	Lunch	China Social Security Fund	15/07/2011		
Legal Counsel	Concert x 2	Chapman Tripp	22/07/2011		
Manager	Concert x 2	Chapman Tripp	22/07/2011		
Head	Rugby x 2	Z Energy	30/07/2011		Invitation accepted prior to changes to hospitality guidelines
Head	Lunch	Tax Management New Zealand	09/08/2011	15/05/2012	
CEO	Dinner	UBS	11/08/2011		Adrian did not attend – unwell.
GM	Breakfast and presentation	Chapman Tripp	11/08/2011		
GM	Breakfast	Chapman Tripp	11/08/2011		
GM	Concert x 2	Chapman Tripp	17/08/2011		
Legal Counsel	Concert x 2	Chapman Tripp	17/08/2011		
Senior Analyst	Lunch	Devon	19/08/2011		
Manager	Lunch	Devon	19/08/2011		
Analyst	Lunch	Devon	19/08/2011		
Head	Event attendance, meals and 1 x night's accommodation	Ernst & Young	21/08/2011	15/05/2012	Australasian Tax Directors Forum. Airfare and 1 x night's accommodation paid by Guardians.
Senior Accountant	Breakfast and presentation	Deloitte	25/08/2011		
Senior Accountant	Breakfast and presentation	Deloitte	25/08/2011	15/05/2012	
Senior Analyst	Lunch	Vermillion	03/09/2011		
Senior Analyst	Dinner	Vermillion	03/09/2011		
Manager	Lunch	Vermillion	03/09/2011		

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Manager	Dinner	Vermillion	03/09/2011		
Analyst	Lunch	Vermillion	03/09/2011		
Analyst	Dinner	Vermillion	03/09/2011		
Analyst	Lunch	Vermillion	03/09/2011		
Analyst	Dinner	Vermillion	03/09/2011		
Manager	Dinner	Blenheim	04/09/2011		
Analyst	Dinner	Blenheim	04/09/2011		
Analyst	Dinner	Blenheim	04/09/2011		
Senior Analyst	Dinner	Numeric	05/09/2011		
Manager	Dinner	Numeric	05/09/2011		
Senior Analyst	Dinner and drinks	UBS	09/09/2011		Hosted at RWC game. Staff member paid for the rugby ticket personally
Manager	Event attendance, meals and 2 x nights accommodation	Hamilton Lane	21/09/2011	15/05/2012	Annual Client Summit
Senior Analyst	Event attendance, meals and 2 x nights accommodation	Hamilton Lane	21/09/2011		Annual Client Summit
General Counsel	Lunch	Russell McVeagh	05/10/2011	23/01/2012	
Head	Dinner	Credit Suisse	13/10/2011		
Head	Lunch	Ernst & Young	21/10/2011		
Manager	Use (as part of a group) of a mini bus and driver for 5 days	Changshu Port	30/10/2011		
Manager	7 x lunches and 7 x dinners	Timberlands on behalf of KT	30/10/2011		Hospitality received during trip to China
Head	Dinner	Northern Trust	31/10/2011		
Head	One night's accommodation	Conferenez and BrightStar Conferences & Training	31/10/2011		Guest speaker at NZ Capital Markets Conference
General Counsel	Lunch	Bell Gully	01/11/2011	23/01/2012	Employment Law Seminar
Head	Event attendance, meals	PWC	07/11/2011	15/05/2012	PWC Australasian Sovereign Wealth Fund tax conference
Senior Accountant	Event attendance, meals	PWC	07/11/2011	15/05/2012	PWC Australasian Sovereign Wealth Fund tax conference

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Administrator	Dinner and entertainment	City Cleaning	10/11/2011		
Head	Dinner	Russell McVeagh	10/11/2011	15/05/2012	Tax Directors from NZ corporates invited to dinner during NZICA Annual Tax Conference
	Event and meals	KPMG	14/11/2011	16/05/2012	KPMG Asia Pacific Tax Conference
Legal Counsel	Lunch	Russell McVeagh	16/11/2011	23/01/2012	
Head	Client Christmas Party	Datacom	16/11/2011	15/05/2012	
Senior Analyst	Dinner	CP2	16/11/2011	10/1/2012	
Engineer	Client Christmas Party	Datacom	16/11/2011	15/05/2012	
Senior Analyst	Client Christmas Party	Datacom	16/11/2011	15/05/2012	
Legal Counsel	Lunch	Russell McVeagh	16/11/2011	23/01/2012	
General Counsel	Lunch	Russell McVeagh	16/11/2011	23/01/2012	
Manager	Lunch	Franklin Templeton	18/11/2011	20/01/2012	
Head	Concert	Deloitte	21/11/2011		
GM	Dinner	KT	21/11/2011	17/05/2012	Part of two-day AGM
Manager	Dinner	KT	21/11/2011	17/05/2012	Part of two-day AGM
Head	Dinner	Northern Trust	22/11/2011	20/01/2012	Global Custody Forum, event for multiple NT clients
Head	Lunch	Deloitte	22/11/2011	15/05/2011	
Senior Accountant	Lunch	Deloitte	22/11/2011	15/05/2011	
Head	Dinner	Northern Trust	22/11/2011	20/01/2012	Global Custody Forum, event for multiple NT clients
Senior Accountant	Working lunch and dinner	Northern Trust	24/11/2011		
Head	Dinner	Deloitte	24/11/2011	15/05/2011	
Engineer	Client Christmas Party	Provoke	24/11/2011	15/05/2012	
Senior Analyst	Client Christmas Party	Provoke	24/11/2011	15/05/2012	
Head	Working lunch and dinner	Northern Trust	24/11/2011		
Manager	Client Christmas Party	Provoke	24/11/2011	15/05/2012	
Head	Lunch	PWC	26/11/2011		
Head	Dinner	Northern Trust	28/11/2011	20/1/2012	
Head	Lunch	ETX Tax Pool	28/11/2011	15/05/2012	

Position	Description of hospitality	From	Date	Date Approved	Notes
Head	Lunch	Morrison and Co	28/11/2011	15/05/2012	
GM Investments	Dinner	White Cloud	28/11/2011		Introduction to BXR
Head	Dinner	Northern Trust	28/11/2011	20/1/2012	
General Counsel	Art Awards	Russell McVeagh	29/11/2011	23/01/2012	Paid personally
Head	Dinner	Clearpoint	30/11/2011	23/01/2012	
Manager	Dinner	Northern Trust	02/12/2011	20/01/2012	
Head	Dinner	Northern Trust	02/12/2011	20/01/2012	
Analyst	Dinner	Aksia	5/12/2011	23/01/2011	
Analyst	Dinner	Aksia	5/12/2011	23/01/2011	
Manager	Dinner	Willis Bond	7/12/2011		
Head	Dinner	Canadian Pension Plan Investment Board	8/12/2011		
Senior Accountant	Lunch	PWC	21/12/2011	15/05/2012	
Manager	Meal	Hamilton Lane	18/01/2012	15/05/2012	
Head	Lunch	PWC	21/1/2012	15/05/2012	
Head	Dinner	UBS	31/01/2012		
Senior Analyst	Dinner	Bridgewater	07/02/2012	23/02/2012	
Manager	Dinner	Bridgewater	07/02/2012	23/02/2012	
Head	Dinner	Bridgewater	07/02/2012	23/02/2012	
Head	Dinner	Northern Trust	08/02/2012	10/02/2012	
CEO	Dinner	UBS	09/02/2012	1/3/2012	
Senior Analyst	Lunch	Thomas Murray	10/02/2012	10/02/2012	
Head	Lunch	Thomas Murray	10/02/2012	10/02/2012	
General Counsel	Drinks (+ partner)	Webb Henderson	14/02/2012	10/02/2012	
Head of Tax	Working lunch; dinner	Ernst & Young	15/02/2012	15/05/2012	EY New Zealand Tax Directors Forum
Senior Analyst	Lunch	Numeric	17/02/2012	23/02/2012	
Manager	Lunch	Numeric	17/02/2012	23/02/2012	
Analyst	Lunch	Numeric	17/02/2012	23/02/2012	
GM	Dinner and accommodation	FarmRight	22/2/2012	02/03/2012	Accommodation was provided at Farmright's offices in Lumsden to facilitate attendance at quarterly meeting
Head	Dinner and accommodation	FarmRight	22/2/2012	29/02/2012	Accommodation was provided at Farmright's offices in Lumsden to

Position	Description of hospitality	From	Date	Date Approved	Notes
					facilitate attendance at quarterly meeting
Manager	Dinner and accommodation	FarmRight	22/2/2012	02/03/2012	Accommodation was provided at Farmright's offices in Lumsden to facilitate attendance at quarterly meeting
Senior Analyst	Dinner	Vermillion Asset Management	27/02/2012	13/03/2012	
Head	Dinner	Vermillion Asset Management	27/02/2012	13/03/2012	
Manager	Dinner	Vermillion Asset Management	27/02/2012	13/03/2012	
Head	Lunch	KPMG	27/02/2012	15/05/2012	
General Counsel	Working lunch	Bell Gully and CLANZ	27/02/2012	10/02/2012	CLANZ General Counsel Forum
Senior Analyst	Lunch	LSV	01/03/2012	03/03/2012	
Senior Analyst	Lunch	LSV	01/03/2012	03/03/2012	
Head	Lunch	LSV	01/03/2012	03/03/2012	
Manager	Midday conference entertainment – skiing	Gladius Capital Management	01/03/2012	13/03/2012	Paid for the ski pass and equipment personally
Manager	Dinner	Gladius Capital Management	01/03/2012	13/03/2012	Associated with Investor Conference / Roundtable
GM	Movies, family invited	Russell McVeagh	1/03/2012	19/03/2012	
Manager	2 nights accommodation and associated meals	Global Forest Partners	12/03/2012	20/03/2012	Global Forest Partners Client Conference
Legal Counsel	Lunch	Bell Gully	13/03/2012	19/03/2012	
Head	Lunch	Bell Gully	13/03/2012	15/05/2012	
Legal Counsel	Lunch	Bell Gully	13/03/2012	19/03/2012	
General Counsel	Lunch	Bell Gully	13/03/2012	19/03/2012	
Head	Dinner	Deloitte/Russell McVeagh	15/03/2012	15/05/2012	IFA Tax Conference for Corporate Tax Managers
Manager	Dinner	Northern Trust	19/03/2012	21/03/2012	
Manager	Dinner	Northern Trust	19/03/2012	21/03/2012	
Senior Analyst	Dinner	Northern Trust	19/03/2012	21/03/2012	
GM	Dinner	Northern Trust	19/03/2012	21/03/2012	
Head	Dinner	Northern Trust	19/03/2012	21/03/2012	
Senior Accountant	Working lunch and dinner	QIC	22/03/2012	29/03/2012	
Head	Working lunch and	QIC	22/03/2012	26/03/2012	

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	dinner				
Manager	Breakfast, Lunch, Dinner & Accommodation	Hancock Agriculture Investment Group	22/03/2012		
GM	Working lunch and dinner	QIC	22/03/2012	02/04/2012	Regional Finance Forum (a peer fund event).
Head	Dinner	Direct Broking / ANZ	23/03/2012	02/03/2012	
General Counsel	Dinner	Chapman Tripp	26/3/2012	19/03/2012	Discussion on the future of Directors' duties and attracting talent to boards.
Head	Lunch	Trans-Tasman Business Circle	27/03/2012	18/03/2012	
GM	Lunch	Deloitte	30/03/2012	29/03/2012	
Head	Movies (1 adult, 2 children)	Russell McVeagh	1/4/2012	7/5/2012	
Team Leader	Dinner	Northern Trust	11/04/2012	21/04/2012	
Investment Operations	Dinner	Northern Trust	11/04/2012	21/04/2012	
Portfolio Manager	Lunch	JP Morgan	12/04/2012		
GM	Lunch	JP Morgan	12/04/2012		
Team Leader	Dinner	Northern Trust	12/04/2012	21/04/2012	
Head	Dinner	Northern Trust	12/04/2012	21/04/2012	
Investment Operations	Dinner	Northern Trust	12/04/2012	12/04/2012	
CEO	Accommodation and Meals	Sovereign Wealth Fund Institute	16/04/2012		Keynote Speaker
CEO	Accommodation and Meals	Emory Centre for Alternative Investments	18/04/2012		Board Meeting
GM	Dinner	Institutional Investor Roundtable	19/04/2012	15/05/2012	
GM	Airport pickup (car)	PSP	23/04/2012	15/05/2012	
GM	Dinner	AIMCO	25/04/2012	15/05/2012	
GM	Lunch	International SOS	26/04/2012	15/05/2012	
Coordinator	Lunch	International SOS	26/04/2012	15/05/2012	
GM	Lunch	International SOS	26/04/2012	15/05/2012	