

## Guardians of New Zealand Superannuation - Gift Register 1 May 2011 – 30 April 2012

As outlined in the Guardians' Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and auctioned as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

Position	Description of gift	From	Date	Date Approved	Notes
Head	Umbrella and framed conference memento, value unknown	National Pension Service of Korea	30/06/2011		Not gifted to Charity Auction – umbrella used in Seoul and memento retained as particular to conference attendees
GM	Umbrella and framed conference memento, value unknown	National Pension Service of Korea	30/06/2011		Not gifted to Charity Auction – umbrella used in Seoul and memento retained as particular to conference attendees
Head	Two bottles of wine, estimated value \$150	PWC/Fairfax	11/07/2011		Thank you gift for judging Sustainable 60 Awards, gifted to Charity Auction.
CEO	Bottle of wine, estimated value \$60	ANZ	12/07/2011		Guest speaker at NZCID conference – thank you gift. Gifted to Charity Auction.
Head	Bottle of wine, estimated value \$60	ANZ	12/07/2011		Thank you gift for helping to prepare presentation for NZCID conference. Passed on to staff drinks.
CEO	Bag, book voucher, T-Shirt, coasters, estimated value \$150	APSTE	14/07/2011		Guest speaker – thank you gift. Gifted to Charity Auction.
General Counsel	Bottle of wine, estimated value \$60	Russell McVeagh	30/09/2011		Passed on to staff drinks.
CEO	Silk table runner and silk pouch, estimated value \$60	Khazanah Pension Fund	17/10/2011		Thank you gift for hosting Khazanah delegation, gifted to staff.
N/A	Hot beverage voucher, value \$300	ANZO	18/10/2011		To promote new coffee shop in building; shared among staff.
CEO	Cuff links and tie, estimated value \$100	NZ2011 Office	20/10/2011		Thank you gift for meeting with business VIPs and giving speeches at formal 2011 RWC functions. Tie gifted to staff.
Administrator	Dinner voucher, value \$200	City Cleaning	1/12/2011	20/01/2012	Personal apology from City Cleaning.
Senior Analyst	Souvenir stamp book, estimated value \$50	Steel Mill	9/12/2011		Presented during site visit. Kept at Guardians.
GM	Souvenir stamp book, estimated value \$50	Steel Mill	9/12/2011	25/01/2012	Presented during site visit. Kept at Guardians.
Various	8 x wine cases, estimated value \$1,120	Vermillion Asset Management	22/12/2011	09/01/2012	All gifted to Charity Auction
Various	3 x Kindle readers, estimated value	Blenheim Capital	30/12/2011	09/01/2012	All gifted to Charity Auction

<b>Position</b>	<b>Description of gift</b>	<b>From</b>	<b>Date</b>	<b>Date Approved</b>	<b>Notes</b>
	\$570	Management			
Various	8 x books (Switch) and toy (Buckyballs), estimated value \$400	Numeric	02/01/2012	09/01/2012	All gifted to Charity Auction
GM	1 x copy of International GAAP 2012. Estimated value \$265	Ernst & Young	23/02/2012	28/03/2012	Gifted to Guardians Library